Homomorphic Matrix Operations under Bicyclic Encoding *

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Abstract

Homomorphically encrypted matrix operations are extensively used in various privacy-preserving applications. Consequently, reducing the cost of encrypted matrix operations is a crucial topic on which numerous studies have been conducted. In this paper, we introduce a novel matrix encoding method, named *bicyclic encoding*, under which we propose two new algorithms for encrypted matrix multiplication. One algorithm outperforms the stat-of-the-art algorithms in theory, while the other, combined with the *segmented* strategy, performs well in practice, particularly for matrices with high dimensions. Additionally, our algorithms offer greater flexibility in matrix dimensions, whereas most previous studies focus on square matrix multiplication. Another noteworthy advantage of bicyclic encoding is that it allows for transposing an encrypted matrix entirely free. A comprehensive experimental study based on our proof-of-concept implementation shows that each algorithm introduced in this paper has specific scenarios outperforming existing algorithms, achieving speedups ranging from 2x to 38x.

Keywords: Matrix multiplication, Fully homomorphic encryption, SIMD, Bicyclic encoding

1 Introduction

Privacy-preserving computing or privacy-enhancing technologies, capable of protecting data privacy and fully exploiting data value, is an exceptionally popular area of research. Fully Homomorphic Encryption (FHE) enables computations to be performed on encrypted data without the need for decryption [43, 22], and hence offering a powerful tool for privacy-preserving computation, such as outsourcing computation [21], bioinformatics [50], vehicle network [48], machine learning [40], etc. Among the numerous privacy-preserving applications enabled by homomorphic encryption, matrix operations emerge as a core fundamental. Therefore, the importance of matrix multiplication over encrypted data is self-evident. In this paper, we investigate matrix multiplication over data encrypted using an FHE scheme that supports Single Instruction Multiple Data (SIMD), such as the BGV [10] and B/FV [9, 18] schemes for integer arithmetic, and the CKKS scheme [12] for approximate arithmetic.

Since Gentry's pioneering work [22], FHE has rapidly developed, giving rise to various schemes such as BGV [10], B/FV [9, 18], CKKS [12], FHEW [15], TFHE[14], and numerous optimizations like data packing for SIMD [46], bootstrapping [25, 32, 34, 51], etc. However, homomorphic matrix multiplication remains a bottleneck in practice. For example, Huang et al. reported in [29] that it takes nearly 6 minutes for an encrypted matrix multiplication with dimensions 2048 × 8 and 8 × 2048.

Almost all existing work about homomorphic matrix multiplication employed schemes that support SIMD, i.e., BGV, B/FV, or CKKS. For these schemes, computational efficiency is primarily influenced by two key factors. The first is multiplicative depth (including *ciphertext-ciphertext multiplication* (Mul) and *plaintext-ciphertext multiplication* (CMul)), a metric that directly impacts the computational efficiency of all known FHE schemes. This is because more multiplicative depths imply a larger ciphertext modulus or an increased number of bootstrappings. The second is the number of required *ciphertext rotations* (Rot) on the packed ciphertext. According to our test, for the CKKS scheme implemented in Microsoft SEAL [38], the efficiency ratio between a ciphertext rotation and a ciphertext multiplication can be as large as 8 : 1. Similar observations can be found in [7] as well. Consequently, the primary objective of this paper is to optimize both the multiplicative depth and the required number of ciphertext rotations for encrypted matrix multiplication.

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1.1 Related Work

Secure matrix multiplication has plenty of applications, making it a highly active and impactful research area in privacy-preserving computation. The subject offers diverse perspectives for investigation, including secure multiparty computation [20, 16, 54, 11, 6], information-theoretically privacy [56], etc. Here, we mainly focus on encrypted matrix multiplication methods based on FHE schemes supporting SIMD, although there exist many other algorithms, e.g., Hiromasa et al. [26, 5], based on a matrix version of GSW [23].

A plaintext of an FHE scheme that supports SIMD is usually an element in a certain polynomial ring, say $R = \mathbb{Z}[X]/\langle X^N + 1 \rangle$. Polynomials in *R* can be represented in two equivalent ways. One is *coefficient representation*, corresponding to the coefficient vector of the polynomial. The other is *evaluation representation*, i.e., the values of the polynomial at *N* points. A *plaintext slot* is a coefficient for the coefficient representation or a value for the evaluation representation. Denote by ℓ the number of slots that the FHE scheme supports, e.g., $\ell = N$ for CKKS [12] under coefficient representation, while $\ell = N/2$ for CKKS under evaluation representation, provided *N* is a power-of-two integer.

Roughly speaking, all existing algorithms for encrypted matrix multiplication can be categorized into two types. For the first type, data is encoded into the coefficients of plaintext polynomials, while for the second type, data is encoded as evaluations. Both types support SIMD operations.

Encoding data in coefficients Duong et al. [17] generalize Yasuda et al.'s method for secure inner product [53, 52], and present two algorithms for encrypted matrix multiplication. Suppose that a ciphertext encodes a vector of dimension at most ℓ . Then the two algorithms of Duong et al. support maximal dimensions of $O(\ell^{1/2})$ and $O(\ell^{1/3})$, and require $O(\ell^{1/2})$ and only one Mul, respectively. The drawback of this method is its lack of efficiency in handling consecutive matrix multiplications. Later on, Mishra et al. [39] extended it to support k matrix multiplications successively. However, the maximal dimension is limited only to $O(\ell^{1/(k+1)})$, which makes it impractical. Inspired by related techniques introduced in [34], Zheng et al. [55] recently proposed a new framework for homomorphic matrix multiplication under BGV, which supports consecutive matrix multiplication and requires only constant Muls and CMuls, and $O(\log d)$ Rots for square matrix multiplication of dimension d when $d = O(\sqrt[3]{N})$, achieving the best theoretical complexity bound, where N is the ring dimension used in BGV. Zheng et al. also presented a Strassen variant [47] for matrices with large dimensions. However, since their algorithm relies on a hypercube structure of the plaintext space, it does not support B/FV or CKKS. In addition, for all the coefficient encoded methods, to reuse partial entries of the encrypted resulting matrix, we are typically compelled to resort to extra operations for switching between the coefficient and evaluation representations, which may slow down the computation.

Table 1: The cost of evaluation encoded algorithms for a (n, m, p) matrix multiplication over encrypted data, where (n, m, p) means that the two matrices to be multiplied are of dimensions $n \times m$ and $m \times p$, respectively, and ℓ is the number of plaintext slots.

Method	Max. dim.	#Ctxts	#Mul	#CMul	#Rot	#Mult. depth
[24, 25]*	ł	(d, p)	pd	0	$2pd^{\frac{1}{2}}$	1 Mul
[35, 49]	ł	(n, m)	mn	mn	$mn \log p$	1 Mul + 1 CMul
[41] [†]	$\sqrt{\ell}$	(1, 1)	d	d	$d\log d + d$	1 Mul + 1 CMul
[<mark>31</mark>] [†]	$\sqrt{\ell}$	(1, 1)	d	5d	$3d + 5d^{\frac{1}{2}}$	1 Mul + 2 CMul
[<mark>30</mark>] [†]	$\sqrt{\ell}$	(1, 1)	d	2d	$2d + 4d^{\frac{1}{2}}$	1 Mul + 1 CMul
[44] [†]	$\sqrt[3]{\ell}$	(1, 1)	1	2d	$2d + 3 \log d - 2$	1 Mul + 1 CMul
[13, 28] [‡]	$\sqrt{\ell}$	(1, 1)	m	т	$m \log d + m$	1 Mul + 1 CMul
Algo. 5 [¶]	$\sqrt{\ell/2}$	(1, 1)	m	0	2 <i>m</i> + 2	1 Mul
Algo. 6 ^{†, *}	* $\sqrt[3]{\ell}$	(1, 1)	1	0	$3\log d$	1 Mul

* $d = \max(n, m)$. [†] $d = \max(n, m, p)$. [‡] $d = \max(m, p)$.

¶ (n, m, p) are pairwise coprime and $\max(n, p) < m$.

** (n, m, p) are pairwise coprime and *m* is a power-of-two integer.

Encoding data in evaluations There are also algorithms that encode matrix data in evaluations of plaintext polynomials, which naturally support consecutive matrix multiplication. We summarize these algorithms in Table 1. Halevi and Shoup investigate linear transformation on encrypted vector [24, 25], i.e., matrix-vector multiplication. Their methods can be directly extended to matrix multiplication. Lu et al. [35] and Wang and Huang [49] extended Halevi and Shoup's method for matrix-matrix multiplication based on the row-order and column-order encoding methods, respectively. Rathee et al. [41] considered an encrypted version of a matrix multiplication algorithm presented in [19]. Jiang et al. presented an algorithm for matrix multiplication over encrypted data in [31]. It uses SIMD operations and the technique for linear transformation [24, 25]. A recent survey [4] identifies Jiang et al.'s

algorithm [31] as the state-of-the-art for FHE-based matrix multiplication. Based on Jiang et al.'s algorithm [31], Huang et al. [29] improved the block matrix multiplication for rectangular matrices with special shapes. Chiang [13] and Huang and Zong [28] presented a scheme for non-square matrices, which can be considered as a generalization and optimization of [41]. Jang et al. [30] presented an adapted CKKS scheme to support data with tensor structure better and improved Jiang et al.'s algorithm [31] in the number of required Rots and CMuls. However, the security of Jang et al.'s variant of CKKS is based on a non-standard hardness assumption called multivariate polynomial learning with errors (m-RLWE). Rizomiliotis and Triakosia [44] introduced a new method for matrix multiplication over encrypted data. This method fully leverages packing techniques, reducing the required number of Muls to just one. However, it only supports matrix dimensions $\ell^{1/3}$.

Other optimizations In addition to optimizing the number of rotations, another optimization direction is to accelerate Rot itself. For example, the hoisting technique proposed in [25] optimizes scenarios involving multiple rotations on the same ciphertext, and the double hoisting introduced by Bossuat et al. [8] makes further progress. These techniques were recently used to accelerate related matrix operations in principal component analysis (PCA) [37]. For encrypted matrices with large dimensions, the Strassen algorithm [47] has been applied recently to this area in [45, 27, 55].

1.2 Contribution

Let *A* and *B* be two matrices with dimensions $n \times m$ and $m \times p$, respectively. Denote by (n, m, p) matrix multiplication the multiplication between *A* and *B*. Our first result is

Theorem 1. Let (n, m, p) be pairwise coprime integers and ℓ the number of slots that the FHE scheme supports.

- 1. If $\ell > 2 \cdot \max\{mn, mp, np\}$, there exists an algorithm (Algorithm 5) that computes a homomorphically encrypted (n, m, p) matrix multiplication within m ciphertext-ciphertext multiplications (Muls), and $2(m + \log \lceil p/m \rceil + \log \lceil n/m \rceil + 1)$ rotations on ciphertexts (Rots), and costs only one Mul multiplicative depth.
- 2. If $\ell > mnp$, there exists an algorithm (Algorithm 6) that computes a homomorphically encrypted (n, m, p) matrix multiplication with only one Mul and CMul, and at most $\log \lceil m \rceil + \log \lceil p \rceil$ Rots, and costs one Mul and one CMul multiplicative depth. In particular, if m is a power-of-two integer, the algorithm can finish the computation with only one Mul and at most $\log \lceil m \rceil + \log \lceil p \rceil$ Rots, without CMul, and hence costs only one Mul multiplicative depth.

Algorithm 5 and 6 support all SIMD-supported FHE schemes (e.g., BGV, B/FV, CKKS) and features the following:

The required number of ciphertext operations When $\max(n, p) < m$, the required number of Rots of Algorithm 5 is 2m + 2, which is the best among those algorithms supporting $O(\sqrt{\ell})$ dimensions in Table 1. For those algorithms supporting $O(\sqrt{\ell})$ dimensions, Algorithm 6, together with [55], requires only a constant number of Muls and CMuls, and $O(\log d)$ Rots with $d = \max(n, m, p)$, better than all other existing algorithms supporting consecutive matrix multiplication. Zheng et al.'s algorithm encodes the data in coefficients, requires one Mul and CMul multiplicative depths, and only works for the BGV scheme, while Algorithm 6 encodes data in evaluations, costs only one Mul multiplicative depth if *m* is a power-of-two, and works for all SIMD-supported FHE schemes.

Multiplicative depth Both Algorithm 5 and 6 share the same multiplication depth as the algorithm based on Halevi-Shoup's linear transformation, requiring only one Mul depth. This is the lowest among all algorithms in Table 1. This implies that encryption parameters can be optimized further for higher efficiency. Compared to the Halevi-Shoup linear transformation method, our approach utilizes fewer ciphertexts. For instance, our computation yields a single ciphertext, while their method produces p ciphertexts as results.

Dimension flexibility Most algorithms in the literature are designed specifically for square matrix multiplication. For non-square matrices, zero padding is required for compatibility. Our algorithm allows (n, m, p) matrix multiplication and hence features dimension flexibility. Although our algorithm has the constraint of pairwise coprime dimensions (n, m, p), this limitation can also be mitigated through zero-padding. In fact, due to matrix dimensions and the number of slots in FHE schemes not always matching, almost all FHE-based matrix multiplication algorithms inevitably require zero-padding, albeit in different forms. While other algorithms (e.g., [31]) may need to fill small matrices into fixed-size square matrices, ours may need to append a few rows or columns. For example, if we have a 16×16 matrix *A* to be multiplied with $\ell = 4096$, then for Jiang et al.'s algorithm [31] *A* will be padded to a 64×64 matrix, while for Algorithm 5, *A* can be padded to a matrix with coprime dimensions, say a 16×17 matrix.

Transpose for free Our algorithms rely on a novel matrix encoding method given in Section 3.1. As a benefit of this encoding, the transpose of an encrypted matrix can be computed for completely free; see Corollary 7. In previous algorithms, e.g., [31], the transpose of an encrypted matrix is reduced to a higher-dimensional linear transformation. This feature is expected to accelerate those applications involving matrix transpose, e.g., computing the covariance matrix in PCA, backpropagation in deep learning, etc.

However, Algorithm 5 (resp. 6) has a limitation: It requires $\ell > 2 \cdot \max\{mn, mp, np\}$ (resp. $\ell > mnp$). Assuming $n \approx m \approx p$ gives $n \approx \sqrt{\ell/2}$ (resp. $n \approx \sqrt[3]{\ell}$), while Jiang et al.'s algorithm [31] supports encrypted matrix multiplication of dimension $\sqrt{\ell}$. Thus, for handling high-dimensional matrices in a block-wise manner, the number of blocks would be a bit more than that of some existing algorithms, e.g., Jiang et al.'s [31]. This may lead to a lower efficiency of the block-wise algorithms based on Algorithm 5 or 6. To address this problem, we fully exploit the properties of our novel encoding method (on which Algorithm 5 and 6 depends) and introduce a segmented version of Algorithm 5 for multiplying high-dimensional matrices (Algorithm 8), where the utilization rate of slots achieves nearly 100%, similar to, e.g., Jiang et al.'s algorithm. This leads to our second result:

Theorem 2. Assume that (n, m, p) are pairwise coprime integers and ℓ is the number of slots the FHE scheme supports. Then there exists an algorithm (Algorithm 8) that computes the homomorphically encrypted (n, m, p) matrix multiplication within $m \cdot \left\lceil \frac{np}{\ell} \right\rceil$ ciphertext-ciphertext multiplications (Mul), and $2m \cdot \left\lceil \frac{np}{\ell} \right\rceil$ rotations on ciphertexts (Rot), $(4 \cdot \left\lceil \frac{np}{\ell} \right\rceil + 2)m + n + p$ plaintext-ciphertext multiplications (CMul), and costs only one Mul and one CMul multiplicative depth.

As a consequence, Algorithm 8 reduces the number of Rots by a factor $\frac{1}{3}$ and saves one depth of CMul compared with the state-of-the-art algorithm for high-dimensional encrypted rectangular matrices [29]. Furthermore, it depends on a so-called *segmented* matrix multiplication, which is different from the traditional block matrix multiplication. By applying this technique to an existing algorithm for encrypted matrix multiplication [35] and incorporating several optimizations in Section 6, we obtain Algorithm 10, which asymptotically requires the fewest Rots, even outperforming Zheng et al.'s block algorithm [55] when the dimension tends to infinity.

We implement all Algorithms 5, 6, 8 and 10, with CKKS in SEAL [38], including the naïve (textbook) block version and the Strassen [47] version of Algorithm 5 as well. A comprehensive experimental study demonstrates the performances of these algorithms and identifies how to select different algorithms for different cases to achieve optimal efficiency. In particular, our implementation of Algorithm 8 can compute a (2048, 8, 2048) encrypted matrix multiplication in about 81s, achieving a 2.6x speedup compared with the state-of-the-art algorithm for rectangular matrices [29], and for a task with dimension (1024, 1024, 1024), it costs about 1200s, 5x faster than the block version of Jiang et al.'s algorithm [31]; Algorithm 6 can compute a (15, 16, 17) encrypted matrix multiplication in about 13ms, about 16x faster than the algorithm presented in [44]; Algorithm 10 with some optimizations can compute a (32, 33, 13847) encrypted matrix multiplication in about 8.24s, about 38x faster the block algorithm based on [31]. See Section 7 for more details.

1.3 Technique Overview

Our results mainly rely on two techniques: bicyclic encoding for matrices and segmented matrix multiplication.

Bicyclic encoding We first define a novel encoding map that identifies an $n \times m$ matrix as a vector of dimension *mn*, provided *n* and *m* coprime. We call it the *bicyclic encoding*, which can be roughly viewed as an extension of the diagonal vector of a matrix employed in Halevi-Shoup's algorithm [24]. It follows from the Chinese Remainder Theorem (CRT) that the coprime restriction on *n* and *m* guarantees that $\mathbb{Z}/(m\mathbb{Z}) \cong \mathbb{Z}/(m\mathbb{Z})$, which implies that a single vector is enough to traverse all elements of an $n \times m$ matrix. For instance, the bicyclic encoding for

$$\mathbf{A} = \begin{pmatrix} 0 & 1 & 2 & 3 & 4 \\ 5 & 6 & 7 & 8 & 9 \end{pmatrix} \text{ and } \mathbf{B} = \begin{pmatrix} A & B & C \\ D & E & F \\ G & H & I \\ J & K & L \\ M & N & O \end{pmatrix}$$
(1)

are a = (0, 6, 2, 8, 4, 5, 1, 7, 3, 9) and b = (A, E, I, J, N, C, D, H, L, M, B, F, G, K, O), respectively. In particular, the *k*-th entry of *a* for k = 0, 1, ..., 9 is the (i, j)-entry of *A* with $i = k \mod 2$ and $j = k \mod 5$.

Let *A* and *B* be two matrices to be multiplied, with dimensions (n, m) and (m, p), respectively, satisfying (n, m, p) pairwise coprime and $m > \max(n, p)$, where the latter condition is just for simplicity and will be removed in our main algorithm. Assume that vectors *a* and *b* are obtained via the bicyclic encoding of *A* and *B*, respectively. Then the bicyclic encoding of the resulting matrix X = AB is exactly $\sum_{0 \le i < m} a_i \odot b_i$, where \odot denotes component-wise multiplication, and a_i and b_i are of dimension np obtained by rotating the original *a* and *b*, respectively. Taking *A* and *B* as in Eq. (1), i.e., (n, m, p) = (2, 5, 3), we give in Table 2 an illustrative example for a matrix multiplication algorithm (Algorithm 1) under bicyclic encoding. We should note that the number of rotation positions for a_i and b_i

Table 2: An illustrative example for Algorithm 1, where s_a (resp. s_b) is the step size for rotating a (resp. b) to the left.

i	(s_a, s_b)	a_i	\boldsymbol{b}_i	$oldsymbol{a}_i \odot oldsymbol{b}_i$
0	(0, 0)	(0, 6, 2, 8, 4, 5)	(A, E, I, J, N, C)	(0A, 6E, 2I, 8J, 4N, 5C)
1	(8, 3)	(3, 9, 0, 6, 2, 8)	(J, N, C, D, H, L)	(3J, 9N, 0C, 6D, 2H, 8L)
2	(6, 6)	(1, 7, 3, 9, 0, 6)	(D, H, L, M, B, F)	(1D, 7H, 3L, 9M, 0B, 6F)
3	(4, 9)	(4, 5, 1, 7, 3, 9)	(M, B, F, G, K, O)	(4M, 5B, 1F, 7G, 3K, 9O)
4	(2, 12)	$\left(2,8,4,5,1,7\right)$	(G, K, O, A, E, I)	(2G, 8K, 4O, 5A, 1E, 7I)

is nontrivial; see Section 3.2 for details. Clearly, this algorithm requires 5 component-wise vector multiplications and 8 vector rotations for this example, which leads Algorithm 5 for matrix multiplication over encrypted data.

If we repeat *a* and *b* with *p* and *n* times, respectively, the updated *a* and *b* are both *mnp*-dimensional vectors. Now the *segment-sum* (see Definition 4) of $a \odot b$ with length *np* gives the bicyclic encoding of X = AB, which implies Algorithm 6 that requires only one Mul and at most 3 log *d* Rots for a (n, m, p) encrypted matrix multiplication if *m* is a power-of-two, where $d = \max(n, m, p)$. Although Zheng et al.'s algorithm [55] achieves a similar result using a totally different method, their algorithm requires that the ring used in the FHE schemes has a tensor structure, and hence only works with BGV, while Algorithm 6 works with all SIMD-supported FHE schemes, including BGV, B/FV, and CKKS.

Segmented matrix multiplication On one hand, for high dimensional matrix multiplication, a matrix inevitably needs to be encrypted into multiple ciphertexts, and a typical approach is to employ block matrix multiplication ([31, 29]). On the other hand, due to the constraint that the number of plaintext slots in the FHE schemes is usually a power of 2, and the pairwise coprime among (n, m, p) implies the dimensions of the matrices must not be all power-of-two integers, ciphertext rotations become less straightforward than those in [31, 29]. More precisely, to ensure the correctness of Algorithm 5, we have to repeat the encoded matrix data once more and place it in a single plaintext before encryption. This results in the dimension that Algorithm 5 supports for nearly square matrices is about $\sqrt{\ell/2}$, whereas for the algorithms in [31], it is $\sqrt{\ell}$. Consequently, if Algorithm 5 is applied to block matrix multiplication, the number of ciphertexts will be more than that of algorithms in [31, 29]. To address this problem, we introduce a technique called *segmented matrix multiplication*.

The basic idea of segmented matrix multiplication is to implement encrypted matrix multiplication strictly following the plaintext algorithm for matrix multiplication under bicyclic encoding. Continuing with the matrices from equation (1) as an example, suppose the number of plaintext slots $\ell = 2$. Then, the bicyclic encoding of A will be encrypted into five ciphertexts (ct. a_i)_{$0 \le i < 5$} corresponding to encryptions of (0, 6), (2, 8), (4, 5), (1, 7) and (3, 9). The primary task then becomes how to perform rotations on these ciphertexts. To fulfill this function, we design a subroutine called *Long Rotation* (LongRot). For instance, running a LongRot on (ct. a_i)_{$0 \le i < 5$} with step size one (i.e., rotating one position towards left) returns ciphertexts of (6, 2), (8, 4), (5, 1), (7, 3) and (9, 0). Based on LongRot, we present Algorithm 8, which supports high-dimensional encrypted matrix multiplication. For encrypted rectangular matrix multiplication, Algorithm 8 reduces the number of Rots by a factor $\frac{1}{3}$ and saves one CMul depth, compared with the state-of-the-art algorithm [29]. The experiment in Section 7 shows that Algorithm 8 is efficient for high-dimensional matrix multiplication. Applying the segmented technique with several optimizations to an algorithm in [35] leads to an algorithm requiring the least number of Rots asymptotically among all existing algorithms.

Outline In Section 2, we provide the necessary preliminaries. In Section 3, we introduce the bicyclic encoding method and discuss matrix operations under this encoding, including matrix transpose, matrix multiplication, and switching between bicyclic encoding and the commonly used row/column encoding. In Section 4, we present the encrypted version of the aforementioned matrix multiplication algorithms and analyze their cost. In Section 5, we present the encrypted segmented matrix multiplication and apply the segmented strategy to Lu et al.'s algorithm in Section 6. In Section 7, we describe our implementation of these algorithms with CKKS in Microsoft SEAL [38] and compare the performance with existing algorithms. We conclude this paper with Section 8.

2 Homomorphic Operations

We give the basics of FHE in Appendix A. Here, we recall some homomorphic operations of an SIMD-supported FHE scheme. For convenience, we take CKKS [12] as an example.

In CKKS, the plaintext space is $\mathcal{M} = \mathbb{Z}[X]/\langle X^N + 1 \rangle =: R$ while messages are complex vectors in \mathbb{C}^{ℓ} with $\ell = N/2$, where *N* is a power-of-two integer. The ciphertext space of CKKS is C = R/qR, where *q* is the *ciphertext modulus*, a large integer. The restriction of the canonical embedding $\mathbb{R}[X]/\langle X^N + 1 \rangle \to \mathbb{C}^{\ell}$ on *R* maps $m(X) \in R$ into $m \in \mathbb{C}^{\ell}$ by evaluating m(X) at the primitive 2*N*-roots of unity $\xi_j = \xi^{5^j}$ for $0 \le j < \ell$. The inverse of the canonical embedding encodes a message m as a plaintext m(X). Thus, CKKS naturally supports SIMD operations, i.e., performing an operation on a ciphertext corresponds to performing the same operation on $\ell = N/2$ entries of m in parallel. Each entry of the message $m \in \mathbb{C}^{\ell}$ is called a *plaintext slot*.

For $\mathbf{x} = (x_i)_{0 \le i < \ell}$ and $\mathbf{y} = (y_i)_{0 \le i < \ell}$, let ct. \mathbf{x} and ct. \mathbf{y} be the ciphertext encrypted by CKKS under the same public key. CKKS supports the following basic operations:

- Add(ct.x, ct.y): Dec(Add(ct.x, ct.y)) = x + y.
- $Mu(ct.x, ct.x): Dec(Mu(ct.x, ct.x)) = x \odot y$, where \odot is for component-wise multiplication.
- CMul(m, ct.x): $Dec(CMul(m, ct.x)) = m \odot x$, where *m* is a message in \mathbb{C}^{ℓ} ; for $m \in \mathbb{C}$, CMul(m, ct.x) is a special case of CMul(m, ct.x) with m = (m, ..., m).
- $Sl_{[i,j]}(ct.x)$ convert a ciphertext ct. $x = Enc(x_0, \ldots, x_{\ell-1})$ into a ciphertext that encrypts $(0, x_i, x_{i+1}, \ldots, x_j, 0)$, equivalent to CMul(m, ct.x) for $(0, \ldots, 0, 1, 1, \ldots, 1, 0, \ldots, 0)$.

• $\operatorname{Rot}_k(\operatorname{ct}.x)$ convert $\operatorname{ct}.x = \operatorname{Enc}(x_0, \ldots, x_{\ell-1})$ into a new ciphertext $\operatorname{Enc}(x_k, \ldots, x_{\ell-1}, x_0, \ldots, x_{k-1})$.

It is well-known that the computational efficiency of an FHE-based algorithm is primarily influenced by two key factors, i.e., the multiplicative depth (including Mul and CMul) and the number of rotations (Rots) on the ciphertext. The deeper the multiplication depth, the larger the ciphertext modulus, leading to higher computational costs. In addition, according to our test, for the CKKS scheme implemented in SEAL [38], the efficiency ratio between a ciphertext rotation Rot and a ciphertext multiplication Mul can be as large as 8 : 1. Thus, in practice, one focuses on optimizing the multiplicative depth and the number of rotations.

3 Bicyclic Encoding for Matrices

In this section, we introduce a novel encoding method for matrices, disclose an intriguing property of this new encoding for matrix transpose, and present two algorithms for matrix multiplication under this new encoding.

To this end, we fix some notations. Let $A \in \mathbb{R}^{n \times m}$ and $B \in \mathbb{R}^{m \times p}$ be two matrices over some ring $\mathcal{R} \subseteq \mathbb{C}$. Denote by $X \in \mathbb{R}^{n \times p}$ the resulting matrix of their multiplication, i.e., X = AB. The transpose of a matrix A is denoted by A^{T} . Let $[i]_k$ be the non-negative representation of the residue class of i in $\mathbb{Z}/(k\mathbb{Z})$. All indices of vectors and matrices start from 0 unless otherwise specified. For an integer k, we define a *rotation* of a vector $v = (v_i)_{0 \le i < n} \in \mathbb{R}^n$ as $\rho_k(v) = (v_{[k]_n}, v_{[k+1]_n}, \dots, v_{[k+n-1]_n}) \in \mathbb{R}^n$, i.e., $\rho_k(v)$ rotates v to the left by $[k]_n$ positions.

3.1 Bicyclic Encoding

Let $A = (a_{i,i}) \in \mathbb{R}^{n \times m}$ be a matrix. Define a map $\varphi_r : \mathbb{R}^{n \times m} \to \mathbb{R}^r$ with a positive integer $r \leq m \cdot n$ as follows:

$$\varphi_{n,m,r}(A) = (a_{[0]_n,[0]_m}, a_{[1]_n,[1]_m}, \dots, a_{[r-1]_n,[r-1]_m}) \in \mathcal{R}^r.$$

In particular, if gcd(n, m) = 1 then the elements of $\varphi_{n,m,n\cdot m}(A) \in \mathbb{R}^{n\cdot m}$ exactly traverse each element of A once. The reason is that the indices of the resulting vector are decided by the map $k \mapsto (k \mod n, k \mod m)$, which is an isomorphism between $\mathbb{Z}/(mn\mathbb{Z})$ and $\mathbb{Z}/(n\mathbb{Z}) \otimes \mathbb{Z}/(m\mathbb{Z})$ by CRT, provided gcd(n, m) = 1.

We call $\varphi_{n,m,n\cdot m}(A)$ the bicyclic encoding of A, denoted by d(A). For $\ell \ge m \cdot n$, the bicyclic decoding map $\psi_{\ell,n,m} : \mathcal{R}^{\ell} \to \mathcal{R}^{n \times m}$ that maps a vector $\mathbf{x} \in \mathcal{R}^{\ell}$ to a matrix $X = (X_{i,j})$ with $X_{i,j} = x_k$ and $k = [i \cdot t \cdot m + j \cdot s \cdot n]_{n \cdot m}$, where (s, t) is a pair of *Bézout coefficients* for (n, m), i.e., two integers such that $s \cdot n + t \cdot m = 1$. In particular, for the bicyclic encoding $d(A) = (d_k)_{0 \le k \le n \cdot m}$ of a matrix $A \in \mathcal{R}^{n \times m}$ we have $\psi_{n \cdot m, n, m}(d(A)) = A$.

The following are some remarks on bicyclic encoding:

- In bicyclic encoding, the traversal of row and column indices of *A* ∈ *R^{n×m}* forms two different cycles: one modulo *n* and the other modulo *m*. This is where the name comes from. In fact, we can easily generalize the bicyclic encoding to *d*-cyclic encoding for *d* multidimensional arrays (tensor) *A* ∈ *R<sup>n₁×···×n_d* if *n₁,..., n_d* are pairwise coprime, since CRT still holds in this case.
 </sup>
- The bicyclic encoding for matrices can be viewed as an extension of the diagonal vectors [33, Fig. 1-35] employed in Halevi-Shoup's algorithm [24]. The primary difference lies in the fact that diagonal vectors are defined for square matrices, and a *d*-dimensional square matrix has *d* diagonal vectors. In contrast, the bicyclic encoding presented in this paper is effective for matrices with coprime dimensions, and the bicyclic encoding of a matrix is exactly a single vector.
- For a matrix $A \in \mathbb{R}^{n \times m}$ with (n, m) coprime, the first component of d(A) is the (0, 0)-entry of A, which can actually be adjusted to start from any entry of A.

3.2 Matrix Multiplication under Bicyclic Encoding

Now we present two algorithms for matrix multiplication under bicyclic encoding.

Algorithm 1

Input: $A \in \mathcal{R}^{n \times m}$, $B \in \mathcal{R}^{m \times p}$, (n, m, p) pairwise coprime. Output: Matrix X = AB. 1. Initialize a := d(A), b := d(B), and $x := 0 \in \mathcal{R}^{n \cdot p}$. [p/m] times [n/m] times 2. Update $a := \overbrace{(a, \dots, a)}^{n}$ and $b := \overbrace{(b, \dots, b)}^{n/m}$. 3. Compute the smallest positive integer r satisfying $r \cdot m - n > 0$ and $p \mid (r \cdot m - n)$. 4. For $0 \le i < m$ do (a) Set $\underline{a}_i := \rho_{-i \cdot n}(a)$, update \underline{a}_i as its first np entries. (b) Set $\underline{b}_i := \rho_{i \cdot (r \cdot m - n)}(b)$, update \underline{b}_i as its first np entries. (c) Update $x := x + \underline{a}_i \odot \underline{b}_i$.

5. Decode
$$X := \psi_{np,n,p}(x)$$
.

That we repeat *a* and/or *b* many times in Step 2 is to guarantee that the dimension of *a* and *b* must not be less than the dimension of the resulting *x*. In Step 4a and 4b, the step size of rotations for *a* and *b* should be in $\mathbb{Z}/(mn\mathbb{Z})$ and $\mathbb{Z}/(mp\mathbb{Z})$ respectively; the update of \underline{a}_i and \underline{b}_i can be delayed until Step 4 is complete. In Step 4b, the step size of rotation for *b* is not *ip* but i(rm - n), where rm - n is a multiple of *p*. This nontrivial condition plays a key role in the proof of the correctness of Algorithm 1. (See Appendix C.)

Proposition 3. Algorithm 1 is correct. It requires at most $2(m + \log \lceil p/m \rceil + \log \lceil n/m \rceil + 1)$ vector rotations and m component-wise vector products.

Proof. Since (m, p) are coprime, *n* can be represented as an integral linear combination of *m* and *p*, which guarantees the existence of *r* in Step 3. Now we assume that (s, t) is a pair of Bézout coefficients for (n, p). Then according to the definition of bicyclic decoding, the (i, j)-element of *X* is $x_k = \sum_{0 \le l < m} \underline{a}_{l,k} \cdot \underline{b}_{l,k}$, where x_k , $\underline{a}_{l,k}$ and $\underline{b}_{l,k}$ are the *k*-th element of *x*, \underline{a}_l , and \underline{b}_l , respectively, and $k = [i \cdot t \cdot p + j \cdot s \cdot n]_{np}$. Furthermore, we have

$$x_{k} = \sum_{0 \le l < m} \underline{a}_{l,k} \cdot \underline{b}_{l,k}$$

=
$$\sum_{0 \le l < m} a_{[k-l \cdot n]_{n}, [k-l \cdot n]_{m}} \cdot b_{[k+l(r \cdot m-n)]_{m}, [k+l(r \cdot m-n)]_{p}}$$
(2)

$$= \sum_{0 \le l \le m} a_{[k]_n, [k-l \cdot n]_m} \cdot b_{[k-l \cdot n]_m, [k+l(r \cdot m-n)]_p}$$
(3)

$$=\sum_{0\le l\le m}a_{i,[k-l\cdot n]_m}\cdot b_{[k-l\cdot n]_m,j} \tag{4}$$

$$=\sum_{0\le l\le m}a_{i,l}\cdot b_{l,j}.$$
(5)

Eq. (2) follows from the definitions of bicyclic encoding and the rotation operator, and the construction of a and b in Step 2 of Algorithm 1. Eq. (3) easily follows from the modulo arithmetic. Eq. (4) follows from the fact that $[k]_n = i$ and $[k + i(r \cdot m - n)]_p = j$. In fact, according to the definition of k, there exists an integer q such that $k = i \cdot t \cdot p + j \cdot s \cdot n + q \cdot n \cdot p$. So we have $[k]_n = [i \cdot t \cdot p]_n = i$ because of $s \cdot n + t \cdot p = 1$. Similarly, $[k + i(r \cdot m - n)]_p = [j \cdot s \cdot n + i(r \cdot m - n)]_p = j$, where the last equality follows from $s \cdot n + t \cdot p = 1$ and $p \mid (r \cdot m - n)$. To prove (5), we only need to prove that the set $\{[k - l \cdot n]_m : 0 \le l < m\}$ forms a complete residue system modulo m. Assume that it is not the case, i.e., there exist l and l' such that $0 \le l' < l < m$ and $[k - l \cdot n]_m \ne [k - l' \cdot n]_m$. This assumption implies that there exists a nonzero integer u such that $k - l \cdot n + m \cdot u = k - l' \cdot n$, so we have $(l - l')n = m \cdot u$. Recalling gcd(n, m) = 1, it gives $n \mid u$, i.e., there exists a nonzero integer v such that $u = n \cdot v$. Hence, $(l - l') = m \cdot v$, which implies |l - l'| > m. This contradicts with $0 \le l' < l < m$, which completes the proof.

The for loop of Algorithm 1 requires 2m - 2 vector rotations and *m* vector Hadamard products, and Step 2 requires at most $2(\log \lfloor p/m \rfloor + \log \lceil n/m \rceil + 2)$ extra vector rotations (see Proposition 8), which completes the proof.

Another algorithm for matrix multiplication under bicyclic encoding We first introduce the following

Definition 4. For $c = (c_i)_i \in \mathbb{R}^n$ and an integer *k* that divides *n*, the *segment-sum* of *c* with length *k* is defined as the vector $s = (s_i)_i \in \mathbb{R}^k$ with $s_i = \sum_{0 \le j < n/k} c_{j \cdot k+i}$.

Now we propose the second algorithm for matrix multiplication under bicyclic encoding.

Algorithm 2

Input: $A \in \mathcal{R}^{n \times m}$, $B \in \mathcal{R}^{m \times p}$, (n, m, p) pairwise coprime. Output: Matrix X = AB. 1. Initialize a := d(A), b := d(B), and $x := 0 \in \mathcal{R}^{n \cdot p}$. p times n times 2. Update a := (a, ..., a) and b := (b, ..., b). 3. Compute $x := a \odot b$. 4. For $i = \lceil \log m \rceil - 1$, ..., 0 do (a) Set $t := \rho_{2^i \cdot n \cdot p}(x)$. (b) If $i = \lceil \log m \rceil - 1$ then set $t_{\lceil mnp - 2^i \cdot np, ..., mnp - 1 \rceil} := 0$. (c) Update x := x + t. 5. Decode $X := \psi_{mnp,n,p}(x)$.

Step 4 is to compute the segment-sum of the vector x in Step 3. The results of the segment-sum are located in the first np position in x after Step 4. Here we note that if m is a power-of-two integer, Step 4b can be omitted.

Proposition 5. Algorithm 2 is correct. It requires at most $\log \lceil n \rceil + \log \lceil m \rceil + \log \lceil p \rceil$ vector rotations and only one component-wise vector product of dimension mnp.

Remark 1. From the perspective of matrix multiplication in plaintext, both Algorithm 1 and 2 require O(mnp) arithmetic operations. However, their vectorized calculation style may be employed to accelerate matrix multiplication on certain heterogeneous platforms, such as FPGA and GPU.

3.3 Encrypted Matrix Operations under Bicyclic Encoding

We now start to discuss some matrix operations on encrypted data under bicyclic encoding, but we defer the encrypted matrix multiplication to the next section.

Switching between encrypted bicyclic encoding and row encoding The first operation is how to convert between the bicyclic encoding and the commonly used row encoding or column encoding. Here, we only discuss the row encoding, since the discussion for the column encoding can be obtained similarly. For a matrix $A = (a_{i,j}) \in \mathbb{R}^{n \times m}$ with (n, m) coprime, the *row encoding* of A is defined as a vector $\mathbf{r}(A) = (a_{\lfloor k'/m \rfloor, \lfloor k' \rfloor_m})_{0 \le k' < mn}$. Suppose that $\mathbf{d}(A)$ is the bicyclic encoding of A. Then there exists a linear transformation T between $\mathbf{r}(A)$ and $\mathbf{d}(A)$. In particular, $\mathbf{d}(A) = T \cdot \mathbf{r}(A)$, where $t_{k,k'} = 1$ with k and k' determined as follows. For $0 \le i < n$ and $0 \le j < m$, we first decide $k' = i \cdot m + j$, and then compute $k = [i \cdot t \cdot m + j \cdot s \cdot n]_{mn}$, where (s, t) is a pair of Bézout coefficients for (n, m), as in the bicyclic decoding process. One can decide a matrix T' satisfying $\mathbf{r}(A) = T' \cdot \mathbf{d}(A)$ similarly.

Given an encryption of r(A), we can use the diagonal encoding introduced by Halevi-Shoup to perform linear transformations on ciphertexts [24], thereby accomplishing the conversion between the two encodings. Assuming the matrix T has d non-zero diagonal vectors, this transformation can be computed within d CMuls and $2\sqrt{d}$ Rots, and requires only one level of CMul multiplication depth [31].

Encrypted matrix transpose under bicyclic encoding Under bicyclic encoding, we show that one can transpose a matrix for free, either in plaintext or encrypted form.

Proposition 6. For a matrix $A \in \mathbb{R}^{n \times m}$ with gcd(n, m) = 1, we have $d(A) = d(A^T)$.

Corollary 7. Let $(ct.a_i)_{0 \le i < \lceil \frac{mn}{\ell} \rceil}$ be ciphertexts (under an FHE scheme that supports ℓ slots) of the bicyclic encoding of a matrix $A \in \mathbb{R}^{n \times m}$ with gcd(n,m) = 1. Then $(ct.a_i)_{0 \le i < \lceil \frac{mn}{\ell} \rceil}$ are also ciphertexts of the bicyclic encoding of A^{T} .

4 Encrypted Matrix Multiplication under Bicyclic Encoding

In this section, we always assume that (n, m, p) are coprime, which means bicyclic encoding applies to all matrices A, B and X = AB, denoted by a, b, and x the encoded vectors, respectively. We also assume that all the encoded vectors can be encrypted into a single ciphertext, denoted by ct.a, ct.b, and ct.x, respectively.

4.1 Building Blocks

For convenience, we first present two building blocks. We refer to the supplemental material for the detailed description.

The Repeat Operation According to Step 2 of Algorithm 1, we need first to convert a ciphertext ct.*a* of *a* to a ciphertext of (a, \ldots, a) , i.e., repeated with certain times.

Algorithm 3 (Repeat)

Input: A ciphertext ct.*a* of $(a, 0) \in \mathbb{R}^{\ell}$ (where $a \in \mathbb{R}^{d}$) and an integer $t = \sum_{i=0}^{\lfloor \log t \rfloor} t_i \cdot 2^i \ge 1$ satisfying $td < \ell$. Output: A updated ciphertext ct.*c* that encrypts $(a, \ldots, a, 0) \in \mathbb{R}^{\ell}$ with *a* repeated *t* times.

Initialize ct.a₀ ← ct.a.
 For 1 ≤ i ≤ [log t] do

 (a) Compute ct.a_i ← Add(ct.a_{i-1}, Rot_{-2ⁱ⁻¹d}(ct.a_{i-1})).
 Set k = 2^[log t] · d and ct.c := ct.a_[log t].

 For i = [log t] - 1, [log t] - 2, ..., 1, 0 do

 (a) If t_i ≠ 0 then compute ct.c ← Add(ct.c, Rot_{-k}(ct.a_i)) and update k := k + t_i · 2ⁱ · d.

Proposition 8. The Repeat algorithm is correct and requires at most 2 log t Rots and Adds, respectively. In particular, if t is a power-of-two integer, it only requires log t such operations.

The Segsum Operation In Step 4 of Algorithm 2, we need to compute the segment-sum of a vector $\mathbf{a} = (a_i)_i \in \mathbb{R}^n$ with length k satisfying $n = k \cdot m$ for an integer m. The following algorithm is an encrypted form of this process.

Algorithm 4 (Segsum)

Input: A ciphertext ct.*a* of $(a, 0) \in \mathbb{R}^{\ell}$ (where $a \in \mathbb{R}^{n}$) and an integer *k* satisfying $n = k \cdot m$ for an integer *m*. Output: A ciphertext ct.*c* that encrypts $(c, 0) \in \mathbb{R}^{\ell}$, where $c \in \mathbb{R}^{k}$ is the segment-sum of *a* with length *k*.

- 1. Initialize $\operatorname{ct.} \mathbf{c} \leftarrow \operatorname{ct.} \mathbf{a}$ and m := n/k.
- 2. For $i = \lceil \log m \rceil 1, \lceil \log m \rceil 2, ..., 1, 0$ do
 - (a) Set ct. $t := \operatorname{Rot}_{2^i \cdot k}(\operatorname{ct.} c)$
 - (b) If $i = \lceil \log m \rceil 1$ then ct. $t \leftarrow Sl_{[0,n-2^i-1]}(ct.t)$. /* This can be omitted if *m* is a power-of-two integer. */
 - (c) Update $ct.c \leftarrow Add(ct.c, ct.t)$.

Proposition 9. The Segsum algorithm is correct and requires at most $\lceil \log m \rceil$ Rots and Adds, and one CMul. In particular, *if m is a power-of-two integer, it only requires* $\log m$ Rots and Adds, without CMul.

4.2 Encrypted Version of Algorithm 1

We now propose an encrypted version (Algorithm 5) of Algorithm 1 for encrypted matrix multiplication on packed ciphertexts under bicyclic encoding.

Algorithm 5

Input: ct.*a* and ct.*b*, which are ciphertexts of the bicyclic encoding of matrices $A \in \mathbb{R}^{n \times m}$ and $B \in \mathbb{R}^{m \times p}$, respectively, where (n, m, p) are coprime and the number of slots $\ell > 2 \cdot \max\{mn, mp, np\}$.

Output: ct.*x*, whose first $n \cdot p$ slots correspond to the bicyclic encoding of the resulting matrix $X \in \mathbb{R}^{n \times p}$.

- 1. Initialize ct. $\mathbf{x} \leftarrow \text{Enc}(\mathbf{0})$. Compute the smallest positive integer r satisfying $p \mid (r \cdot m n)$ and $r \cdot m n > 0$.
- 2. Update ct.*a* \leftarrow Repeat(ct.*a*, 2 $\lceil p/m \rceil$) and ct.*b* \leftarrow Repeat(ct.*b*, 2 $\lceil n/m \rceil$).
- 3. For $0 \le i < m$ do

(a) Compute ct. $\underline{a}_i \leftarrow \operatorname{Rot}_{[-i \cdot n]_{mn}}(\operatorname{ct.} a)$.

- (b) Compute ct. $\underline{b}_i \leftarrow \operatorname{Rot}_{[i \cdot (r \cdot m n)]_{mp}}(\operatorname{ct.} b)$.
- (c) Update ct. $x \leftarrow \text{Add}(\text{ct.}x, \text{Mul}(\text{ct.}\underline{a}_i, \text{ct.}\underline{b}_i))$.

In comparison to the plaintext algorithm (Algorithm 1), the most significant difference lies in Step 2, where the number of repetitions for vectors a and b is doubled. This directly leads to the requirement of $\ell > 2 \cdot \max\{mn, mp, np\}$. The reason is that Rot operations are indeed performed on vectors of dimension ℓ . In contrast, in the plaintext algorithm, the rotation operations for a (resp. b) are performed on a vector of dimension mn (resp. mp). Thus, we have to double the number of repetitions of the original vectors to guarantee the correctness of the results.

Another difference lies in the for loop: neither ct. \underline{a}_i nor ct. \underline{b}_i is truncated to keep only the first np elements. Even after the for loop, ct.x is still not truncated to contain only the first np elements. In fact, if we denote the ℓ -dimensional vector x by the decryption of ct.x returned by Algorithm 5, then the first np components of x exactly correspond to the bicyclic encoding of the result matrix X = AB. Hence, the truncation can be delayed until decryption. This property implies that Algorithm 5 does not need any plaintext-ciphertext multiplication CMul.

Proof of Theorem 1 item 1. Under the assumptions on *n*, *m*, *p* and ℓ , bicyclic encoding is available for each matrix, and each bicyclic encoding vector can be encrypted in one ciphertext. Further, the assumption $\ell > 2 \cdot \max\{mn, mp, np\}$ guarantees the correctness of Rots in Step 3a and 3b. Thus, Algorithm 5 exactly follows the plaintext Algorithm 1, which implies the correctness. The cost of Algorithm 5 is shown in Table 3, which directly follows from the cost of Repeat and counting.

Table 3: The cost of Algorithm 5							
Cost	Step 2	Step 3	Total				
#Add #CMul	$2(\log\left\lceil\frac{p}{m}\right\rceil + \log\left\lceil\frac{n}{m}\right\rceil + 2)$	m	$m + 2\left(\log\left\lceil\frac{p}{m}\right\rceil + \log\left\lceil\frac{n}{m}\right\rceil + 2\right)$				
#Mul	0	m	m				
#Rot #Mult. depth	$2(\log\left\lceil\frac{p}{m}\right\rceil + \log\left\lceil\frac{n}{m}\right\rceil + 2)$	2 <i>m</i> – 2 1 Mul	$2(m + \log \left\lceil \frac{p}{m} \right\rceil + \log \left\lceil \frac{n}{m} \right\rceil + 1)$ 1 Mul				

Remark 2. Although Algorithm 5 does not use plaintext-ciphertext multiplication CMul, users should know that the computation results are contained only in the first np slots. Suppose that decrypting the ct.x obtains $x \in \mathbb{R}^{\ell}$. Then running bicyclic decoding $\psi_{\ell,n,p}(x)$ gives the resulting matrix X = AB. If necessary, these results can be extracted through a single Sl_[0,np-1](ct.x) operation. In fact, performing this selection remains unnecessary unless there is a need to utilize the latter $\ell - np$ slots.

Comparison with existing algorithms Compared with algorithms designed specifically for square matrices [41, 31, 30, 44], Algorithm 5 offers greater flexibility in matrix dimensions. Despite the pairwise coprime constraints among (n, m, p), one can use padding with zeros to meet the requirements. Generally, Algorithm 5 needs fewer padding positions. Compared with algorithms that support encrypted matrix multiplication of arbitrary dimensions [13, 28], as well as those facilitating encrypted approximate number matrix operations [35, 41, 31, 30, 44], Algorithm 5 requires the fewest number of multiplication depths and ciphertext rotations. While the algorithm in [44] requires a smaller number of ciphertext-ciphertext multiplications (Mul), our algorithm does not need plaintext-ciphertext multiplication (CMul); see Table 1.

However, the condition $\ell > 2 \cdot \max\{mn, mp, np\}$ in Algorithm 5 constrains the dimensions of matrices. For a set of CKKS parameters with $\ell = 2^{12}$, Jiang et al.'s algorithm [31] supports encrypted matrix multiplication of dimensions (64, 64, 64), whereas Algorithm 5 only supports dimensions of (43, 45, 44), which is about $\sqrt{\ell/2}$. (Note that the algorithm in [44] only supports (16, 16, 16), i.e., $\sqrt[3]{\ell}$, encrypted matrix multiplication under the same setting.) However, experimental results in Section 7 show that Algorithm 5 is still practical.

4.3 Encrypted Version of Algorithm 2

Similarly, we propose an encrypted version of Algorithm 2 as Algorithm 6. We note that the discussion in Remark 2 also holds for Algorithm 6.

Algorithm 6

Input: ct.*a* and ct.*b*, which are ciphertexts of the bicyclic encoding of matrices $A \in \mathbb{R}^{n \times m}$ and $B \in \mathbb{R}^{m \times p}$, respectively, where (n, m, p) are pairwise coprime and the number of slots $\ell > n \cdot m \cdot p$.

Output: ct.*x*, whose first $n \cdot p$ slots correspond to the bicyclic encoding of the resulting matrix $X = AB \in \mathbb{R}^{n \times p}$.

- 1. Initialize $\operatorname{ct.} x \leftarrow \operatorname{Enc}(\mathbf{0})$.
- 2. Set ct. $a \leftarrow \text{Repeat}(\text{ct.}a, p)$, ct. $b \leftarrow \text{Repeat}(\text{ct.}a, n)$.
- 3. Compute $ct.x \leftarrow Mul(ct.a, ct.b)$.
- 4. ct. $x \leftarrow \text{Segsum}(\text{ct.}x, np)$

Proof of Theorem 1 item 2. In comparison to Algorithm 2 in plaintext, all steps of Algorithm 6 are essentially the same. Therefore, the item 2 of Theorem 1 follows from Proposition 5, which also completes the proof of Theorem 1. \Box

Comparison with existing algorithms Similar to Algorithm 6, both the Rizomiliotis-Triakosia (RT) algorithm [44] and Zheng et al.'s algorithm [55] have a restriction of $d = O(\sqrt[3]{N})$, where $d = \max(n, m, p)$ and N is the dimension of the ring used in the encryption algorithm. Compared to the RT algorithm, the number of Rots required by Algorithm 6 is reduced from a linear function in d to a logarithmic function. Compared to Zheng et al.'s algorithm, the cost of 6 is considerable; theoretically, Algorithm 6 and Zheng et al.'s algorithm are the two most cost-effective algorithms. However, because Zheng et al.'s algorithm relies on the tensor structure of the ring, it currently only supports the BGV scheme, while Algorithm 6 can support any homomorphic encryption scheme that supports SIMD, including BGV, B/FV, CKKS.

However, we note that when computing high-dimensional matrix multiplication in a block-wise manner, these algorithms require more blocks than the other algorithms listed in Table 1, which makes them not so practical as shown in Section 7. Next, we will discuss how to circumvent this obstacle to support encrypted matrix multiplication with higher dimensions.

5 Encrypted Matrices of High Dimensions

Assume that the matrix multiplication with dimensions (n, m, p) satisfies the condition that $\max(mn, mp, np) > \ell$. This implies that at least one matrix involved in the multiplication (either A, B, or X) requires multiple ciphertexts for storage. Under this setting, traditional methods typically resort to block matrix multiplication. Besides, there exists another natural approach, called *segmented strategy* for handling high-dimensional encrypted matrices, in which any bicyclic encoding vector of matrices with dimension larger than ℓ may be divided into multiple vectors of dimension ℓ .

5.1 Block Matrix Multiplication

Let $A \in \mathbb{R}^{n \times m}$ and $B \in \mathbb{R}^{m \times p}$ be the two matrices to be multiplied. Assume that the number of slots ℓ supports a (n_0, m_0, p_0) matrix multiplication for packed ciphertexts with $m_0 = \max\{n_0, m_0, p_0\}$. For simplicity, we further assume that $n_1 = n/n_0 = m/m_0 = p/p_0$. Then both A and B can be split into $n_1 \times n_1$ blocks. To compute this (n_1, n_1, n_1) block matrix multiplication, one needs to compute n_1^{ω} matrix multiplications of dimension (n_0, m_0, p_0) with $2 < \omega < 3$, e.g., for Strassen algorithm [47], $\omega = \log 7 \approx 2.81$. We call the resulting algorithm the *block* version of Algorithm 5, which requires $m_0 n_1^{\omega}$ Muls and $2(m_0 + 1)n_1^{\omega}$ Rots. In fact, the block strategy applies to all matrix multiplication algorithms. In Table 4, we summarize the cost of some of them, such as [31, 44, 55]. Note that $n_0 = m_0 = p_0 = \sqrt{\ell}$ for [31] and $n_0 = m_0 = p_0 = \sqrt[3]{\ell}$ for [44].

Table 4: The cost of different block algorithms for high dimensiona	l (n, m	, p)
encrypted matrix multiplication with $d = \max\{n, m, p\}$.		

Method	#Mul	#CMul	#Rot	Mult. depth
Block [31]	$\ell^{\frac{1-\omega}{2}}d^{\omega}$	$5\ell^{\frac{1-\omega}{2}}d^{\omega}$	$(3\ell^{\frac{1-\omega}{2}}+5\ell^{\frac{1}{2}(\frac{1}{2}-\omega)})d^{\omega}$	1 Mul + 2 CMul
Block [44]	$\ell^{-\frac{\omega}{3}}d^{\omega}$	$2\ell^{\frac{1-\omega}{3}}d^{\omega}$	$(2\ell^{\frac{1-\omega}{3}} + \ell^{-\frac{1}{3}\omega}\log\ell)d^{\omega}$	1 Mul + 1 CMul
Block [55] [†]	n_1^{ω}	$2n_1^\omega$	$2\log N \cdot n_1^\omega$	1 Mul + 1 CMul
Block Algo. 5	$m_0 n_1^\omega$	0	$2(m_0+1)n_1^{\omega}$	1 Mul
Block Algo. 6 [‡]	n_1^{ω}	0	$3\log m_0\cdot n_1^{\tilde{\omega}}$	1 Mul

^{\dagger} *N* is the ring dimension of the BGV scheme.

 m_0 is a power-of-two integer.

It appears that the block version of Algorithm 6 and Zheng et al.'s algorithm [55] are faster than the others in Table 4. However, as mentioned previously, these two algorithms have to deal with more blocks. In particular, n_1 for these two algorithms is approximately $d/\ell^{1/3}$, while for the others n_1 is about $d/\ell^{1/2}$, where $d = \max(n, m, p)$.

For the block version of Algorithm 5, if we assume that $n_0 \approx m_0 \approx p_0 \approx \sqrt{\ell/2}$ and $d = \max\{n, m, p\}$, then $\#\text{Mul} \leq (\ell/2)^{\frac{1-\omega}{2}} d^{\omega}$, and $\#\text{Rot} \leq 2(\ell/2)^{(1-\omega)/2} d^{\omega}$, which seems worse than that of algorithms in [31, 44]. However, the advantages of the block version of Algorithm 5 include: it requires only one multiplicative depth, and it needs no CMul. Experiments in Section 7.4 show that the block version of Algorithm 5 performs well in practice.

5.2 Segmented Matrix Multiplication

The bicyclic encoding introduced in Section 3 allows us to segment the bicyclic encoding vector of matrices, thereby supporting high-dimensional encrypted matrix multiplication following Algorithm 1 exactly. To facilitate this, it is necessary to introduce a fundamental operation called LongRot, used to rotate the segmented vectors of a high-dimensional vector.

The LongRot algorithm Given $a \in \mathbb{R}^d$ with $d > \ell$, the LongRot operation implements the following functionality:

- Construct $a = (a, ..., a) \in \mathcal{R}^{t \cdot d}$, repeating *t* times of *a*;
- Rotate the vector \underline{a} to the left by k positions, resulting in $\underline{a}' = \rho_k(\underline{a})$;
- Select the first τ elements of \underline{a}' and divide them into $\left[\frac{\tau}{\ell}\right]$ groups, each containing ℓ elements, possibly zeropadding for the last one.

Clearly, this functionality is designed to construct the ciphertexts of \underline{a}_i and \underline{b}_i from the ciphertexts of a and b in Step 2 of Algorithm 1, respectively. We omit the detailed description here since this involves only some tedious control structures. For further details, we refer to Appendix B.

Proposition 10. The LongRot algorithm correctly computes the above functionality within $\begin{bmatrix} \tau \\ \rho \end{bmatrix}$ Rots, $2\begin{bmatrix} \tau \\ \rho \end{bmatrix} + \frac{\tau}{d} + 1$ CMuls, and one CMul multiplicative depth.

Algorithm 7 (LongRot)

Input: Ciphertexts $(\operatorname{ct.} a_i)_{0 \le i < w}$ for $a = (a_0, a_1, \dots, a_{w-2}, a_{w-1}) \in \mathbb{R}^d$ with $a_{w-1} \in \mathbb{R}^z$ and $a_i \in \mathbb{R}^\ell$ for $i = 0, 1, \dots, w - 1$ 2 (i.e., $d = (w - 1)\ell + z$ with $0 \le z < \ell$, where ℓ is the number of slots), the number of repeated times *t*, the number of positions to be rotated $k \in [0, d)$, the number of selected elements τ .

Output: Ciphertexts $(\text{ct.}\underline{a}'_i)_{0 \le i < \lceil \frac{\tau}{\tau} \rceil}$, i.e., $\lceil \frac{\tau}{\ell} \rceil$ ciphertexts corresponding to the first τ elements of \underline{a}' .

Segmented version of Algorithm 5 Now, we present an algorithm (Algorithm 8) for high-dimensional encrypted matrix multiplication under bicyclic encoding. It is essentially a direct translation of Algorithm 1 into its encrypted version. The only difference lies in Step 3a, where the rotation step size is adjusted from -jn to (m - j)n. These two are evidently equivalent and meet the requirement of non-negative step sizes in Algorithm 7.

Algorithm 8

Input: Ciphertexts $(ct.a_i)_{i < \lceil mn/\ell \rceil}$ for the bicyclic encoding of $A \in \mathcal{R}^{n \times m}$ and ciphertexts $(ct.b_i)_{i < \lceil mp/\ell \rceil}$ for the bicyclic encoding of $B \in \mathbb{R}^{m \times p}$, where (n, m, p) are pairwise coprime.

Output: Ciphertexts $(\text{ct.} x_i)_{0 \le i < \lceil np/\ell \rceil}$ for the bicyclic encoding of the resulting matrix $X \in \mathbb{R}^{n \times p}$.

- 1. For $i = 0, 1, \dots, \lceil np/\ell \rceil 1$ initialize $\operatorname{ct.} x_i \leftarrow \operatorname{Enc}(\mathbf{0})$.
- 2. Compute the smallest positive integer *r* such that $p \mid (r \cdot m n)$ and $r \cdot m n > 0$.
- 3. For $j = 0, 1, \ldots, m 1$ do the following:

(a) $(\operatorname{ct.}\underline{a}'_i)_i \leftarrow \operatorname{LongRot}((\operatorname{ct.}\underline{a}_i)_{0 \le i < \lceil \frac{mn}{\ell} \rceil}, \lceil \frac{p}{m} \rceil, (m-j)n, np).$

- (b) $(\operatorname{ct}.\underline{b}'_i)_i \leftarrow \operatorname{LongRot}((\operatorname{ct}.\underline{b}_i)_{0 \le i < \lceil \frac{mp}{\ell} \rceil}, \lceil \frac{n}{m} \rceil, j(rm n), np).$ (c) Compute $\operatorname{ct}.x_i \leftarrow \operatorname{Add}(\operatorname{ct}.x_i, \operatorname{Mul}(\operatorname{ct}.\underline{a}'_i, \operatorname{ct}.\underline{b}'_i))$ for $i = 0, 1, \ldots, \lceil np/\ell \rceil 1$.

Proof of Theorem 2. From the structure of Algorithm 8, it is easy to see that the required multiplicative depth is one Mul and one CMul. It follows from Proposition 10 that Step 3a and 3b requires at most $2\left\lceil \frac{np}{\ell} \right\rceil + \frac{p}{m} + 1$ and $2\left\lceil \frac{np}{\ell}\right\rceil + \frac{n}{m} + 1$ CMuls, respectively, and both costs at most $\left\lceil \frac{np}{\ell}\right\rceil$ Rots. Therefore, totally, it requires at most $2m \cdot \left\lceil \frac{np}{\ell}\right\rceil$ Rots and $(4 \cdot \left\lceil \frac{np}{\ell}\right\rceil + 2)m + n + p$ CMuls. Step 3c costs $\left\lceil \frac{np}{\ell}\right\rceil$ Muls. Thus, the total number of Muls is bounded by $m \cdot \left[\frac{np}{\ell}\right].$

Table 5: The cost of Algorithm 8 for high-dimensional rectangular matrix multiplication

Size	Method	#Mul	#CMul	#Rot	Mult. depth
$(n,n,\ell/n)$	[29]	n	5 <i>n</i>	$3n + \frac{n^2}{\ell} + \frac{n\sqrt{n}}{\sqrt{\ell}} - 1$	1 Mul + 2 CMul
	Algo. 8 [†]	n	$7n + \frac{\ell}{n}$	2n	1 Mul + 1 CMul
$(n,\ell/n,\ell/n)$	[29]	$\frac{\ell}{n}$	$\frac{5\ell}{n}$	$\frac{3\ell}{n} + \frac{6\sqrt{\ell}}{n\sqrt{n}} + \frac{2\ell}{n^2} - 2$	1 Mul + 2 CMul
	Algo. 8 [†]	$\frac{\ell}{n}$	$\frac{7\ell}{n} + n$	$\frac{2\ell}{n}$	1 Mul + 1 CMul
$(\ell/n, n, \ell/n)$	[29]	$\frac{\ell}{n}$	$\frac{5\ell}{n}$	$\frac{3\ell}{n} + \frac{6\sqrt{\ell}}{n\sqrt{n}} + \frac{\ell}{n^2} - 1$	1 Mul + 2 CMul
	Algo. 8 [†]	$\frac{l}{n}$	$\frac{6\ell}{n} + 2n$	$\frac{2\ell}{n}$	1 Mul + 1 CMul

[†] For Algorithm 8, we should make the dimensions pairwise coprime.

Comparison For square encrypted matrix multiplication with dimension *d*, Algorithm 8 requires $O(d^3)$ ciphertext operations. Therefore, in an asymptotic sense, the number of ciphertext operations required by Algorithm 5 is greater than those required by the block version algorithms in Section 5.1. However, experiments in Section 7 demonstrate that when $d \le 1024$, Algorithm 8 has a distinct advantage over those block version algorithms. The reason is that most of the block version algorithms are originally recursive. It is well known that the efficiency of recursive algorithms is not that fast. Usually, one can rewrite a recursive algorithm as a loop algorithm. However, in the case of matrix multiplication over encrypted data, such a rewriting will lead to the required multiplicative depth increasing regarding the depth of the recursion, which is unacceptable.

Additionally, Algorithm 8 supports encrypted matrix multiplication of flexible dimensions. In literature, Huang et al. [29] investigated encrypted matrix multiplication for high-dimensional rectangular matrices with different shapes, including matrix multiplication of dimensions $(n, n, \ell/n)$, $(n, \ell/n, \ell/n)$, and $(\ell/n, n, \ell/n)$, where ℓ is the number of slots. All of these shapes cost similar ciphertext operations. Compared with theirs in Table 5 shows that Algorithm 8 reduces the number of Rots by a factor $\frac{1}{3}$ and saves one CMul depth, at a cost of a bit more CMuls.

6 Another Application of Segmented Strategy

In this section, we consider applying the segmented strategy to the algorithm by Lu et al. [35], which is not under bicyclic encoding. This algorithm is no longer the best for matrix multiplication with smaller dimensions, as shown in Table 3. However, combining several optimizations and observations, the segmented version of Lu et al.'s algorithm (Algorithm 10) requires the fewest number of ciphertext rotations in theory among all currently known encrypted matrix multiplication algorithms for high-dimensional matrices.

6.1 Lu et al.'s Algorithm

The algorithm in [35] for encrypted matrix multiplication relies on the Replicate operation. The function of Replicate_{*i*}(ct.*a*) is to transform a ciphertext of $a = (a_0, ..., a_{\ell-1})$ into a ciphertext of $(a_i, a_i, ..., a_i)$. A Replicate can be finished within one CMul plus log ℓ Rots and Adds. Lu et al.'s algorithm supports matrix multiplication of any dimension. For computing an (n, m, p) matrix multiplication X = AB in encrypted form, the algorithm encodes all matrices row by row.

When $\max(m, p) < \ell$, the number of ciphertexts in Algorithm 9 corresponding to *A*, *B* and *X* are *n*, *m* and *n*, respectively. It requires *mn* Muls and CMuls, and *mn* log *p* Rots.

Note that only $\log \ell$ key-switching keys are enough for Algorithm 9, which is used to replicate each entry of *A*. In addition, since the matrices are encoded by rows, Algorithm 9 naturally supports the segmented method introduced in Section 5.2.

Algorithm 9 (Lu et al.'s algorithm [35])

Input: Ciphertexts $(\text{ct.}a_i)_{0 \le i < n}$ for rows of $A \in \mathbb{R}^{n \times m}$ and ciphertexts $(\text{ct.}b_i)_{0 \le i < m}$ for rows of $B \in \mathbb{R}^{m \times p}$. Output: Ciphertexts $(\text{ct.}x_i)_{0 \le i < n}$ for rows of the resulting matrix $X \in \mathbb{R}^{n \times p}$.

6.2 Segmented Lu et al.'s Algorithm

Now we consider matrix multiplication of high dimensions, where each row of A or B is encoded and encrypted as multiple ciphertexts in a segmented manner, say, $\min(m, p) > \ell$.

The number of ciphertexts corresponding to A, B and X are $n\lceil \frac{m}{\ell} \rceil$, $m\lceil \frac{p}{\ell} \rceil$ and $n\lceil \frac{p}{\ell} \rceil$, respectively. Further, this algorithm requires $mn\lceil \frac{p}{\ell} \rceil$ Muls and CMuls, and $mn \log p$ Rots. However, we can optimize the algorithm further.

Algorithm 10 (Segmented version of Lu et al.'s algorithm)

Input: Ciphertexts $(\text{ct.} a_{i,j})_{0 \le i < n, 0 \le j < \lceil m/\ell \rceil}$ for $A \in \mathcal{R}^{n \times m}$ and ciphertexts $(\text{ct.} b_{i,j})_{0 \le i < m, 0 \le j < \lceil p/\ell \rceil}$ for $B \in \mathcal{R}^{m \times p}$, where $\text{ct.} a_{i,j}$ is the ciphertext corresponding to the *j*-th segment of the *i*-th row of *a*, similar for $\text{ct.} b_{i,j}$.

Output: Ciphertexts $(ct. \mathbf{x}_{i,j})_{0 \le i < n, 0 \le j < \lceil p/\ell \rceil}$ for the resulting matrix $X \in \mathcal{R}^{n \times p}$.

For *i* = 0 to *n* − 1 do

 (a) For *j* = 0 to [*p*/*ℓ*] − 1 do
 i. For *k* = 0 to [*m*/*ℓ*] do
 A. For *ι* = 0 to *ℓ* − 1 compute ct.*x_{i,j}* ← Add(ct.*x_{i,j}*, Mul(Replicate_{*ι*}(ct.*a_{i,k}*), ct.*b_{kℓ+ι,j}*)).

 Return (ct.*x_{i,j}*)_{0≤*i*<*n*,0≤*j*<[*p*/*ℓ*]}.

On encoding (O1) Since the cost of Algorithm 10 heavily relies on a factor *nm*, when $nm \gg mp$, it is costly. If this is the case, we can encode the matrix by columns, or, equivalently, consider the matrix multiplication in transpose $X^{T} = B^{T}A^{T}$. So, one can always assume that $n \le p$.

On the number of ciphertexts (O2) For matrix $A \in \mathbb{R}^{n \times m}$, since it only involves the Replicate operation, it can be encoded and encrypted into fewer ciphertexts without affecting efficiency. Indeed, it can be encrypted in a row-wise manner into $\lceil \frac{nm}{\ell} \rceil$ ciphertexts. For instance, if $mn < \ell$, this reduces the number of ciphertexts for A from n to 1.

On the number of Rots (O3) For multiplying with the ciphertexts of each row of *B*, one must replicate each element of *A* to a vector of dimension *p* theoretically. However, all segments are the same. So it does not need $mn \log p$ Rots, but only needs $mn \log \ell$ Rots. This observation shows that the required Rots is independent of *p*.

On Replicate the same ciphertext (O4) In Algorithm 10, we need to replicate $a = (a_0, \ldots, a_{m-1}) \in \mathbb{R}^m$ to $(a_i, a_i, \ldots, a_i) \in \mathbb{R}^\ell$ for $i = 0, \ldots, m-1$. This costs $m \log \ell$ Rots and m CMuls. However, to obtain the same results, one may first group a into groups with each group κ elements and repeat each group ℓ/κ times. Then, for each repeated ciphertext, replicate the κ elements. For instance, assume that our goal is to obtain ciphertexts of $(i, \ldots, i) \in \mathbb{R}^{16}$ from $a = (1, 2, 3, 4) \in \mathbb{R}^4$ for i = 1, 2, 3, 4. First, we select $(1, 2) \in \mathbb{R}^2$ and repeat it 8 times to obtain $(1, 2, \ldots, 1, 2)$, which costs 1 CMuls and $\log(\ell/\kappa) = 3$ Rots. Then we can obtain $(1, 0, \ldots, 1, 0)$ and $(0, 2, \ldots, 0, 2)$ by 2 CMuls. Then we can obtain $(1, \ldots, 1)$ and $(2, \ldots, 2)$ by 2 Rots. With this optimization, we can reduce the number of Rots from 16 to 10, at a cost of m/κ more CMuls and one more CMul depth. So, to replicate all elements of A, the required Rots and CMuls are bounded by $nm\left(\frac{\log(\ell/\kappa)}{\kappa} + \log \kappa\right)$ and $nm(1 + 1/\kappa)$ respectively. The minimum number of Rots achieves when κ satisfies $\kappa e^{\kappa-1} = \ell$.

Table 6: The cost of segmented algorithms for high dimensional encrypted (n, m, p) matrix multiplication with $n \le p$.

Method	#Mul	#CMul	#Rot	#Mult. depth
Algo. 8	$m\left[\frac{np}{\ell_{r}}\right]$	$4m\left\lceil\frac{np}{\ell}\right\rceil + 2(n+p+2m)$	$2m\left\lceil \frac{np}{\ell}\right\rceil$	1 Mul + 1 CMul
Algo. 10/O3	$mn\left[\frac{p}{\ell}\right]$	mn	mn log l	1 Mul + 1 CMul
Algo. 10/O4	$mn\left\lceil \frac{p}{\ell} \right\rceil$	$nm(1+\frac{1}{\kappa})$	$nm(\frac{\log \frac{t}{\kappa}}{\kappa} + \log \kappa)$	1 Mul + 2 CMul

Summary We summarize in Table 6 the segmented algorithms. If $\left\lceil \frac{np}{\ell} \right\rceil$ is small, say a constant, the efficiency of Algorithm 8 is relevant since the required number of operations is only linear in *m*. In the case of *n*, *m* \ll *p*, the advantage of Algorithm 10 is evident, as the required number of Rots and CMuls is independent of *p*. Furthermore, we also note that the number of Rots required by Algorithm 10 is even less than that of the state-of-the-art algorithm [55], which needs $\ell^{-\frac{2}{3}}d^2 \log d$ Rots with $d = \max\{n, m, p\}$, asymptotically more than $\log \ell \cdot d^2$ (Algorithm 10/O3) when *d* tends to infinity.

7 Implementation and Evaluation

In this section, we first introduce our implementation with more details, followed by a comprehensive experimental study of the involved algorithms to evaluate their performance.

7.1 Implementation

To evaluate the performance of the algorithms introduced in this paper, we implement them all (Algorithm 5, 6, 8, 10) and the algorithms of [31, 44] by using the CKKS scheme [12] implemented in Microsoft's open-source SEAL [38]. We also implement the naïve (textbook) and Strassen block version of the algorithms in [31], Algorithm 5, and Algorithm 6.

In SEAL, the key-switching keys for Rot_k are generated only for $k = 2^i$ by default. When a Rot_k operation is involved for a non-power-of-two k, the task can be accomplished using consecutive rotations, e.g., $\operatorname{Rot}_3(\operatorname{ct} x) = \operatorname{Rot}_1(\operatorname{Rot}_2(\operatorname{ct} x))$. This is the main reason for the time-consuming nature of Rot. For efficiency, we pre-generate all the necessary switching keys in our implementation. Although this needs additional time and storage overhead for key generation, according to our test with Algorithm 8 for N = 8192, it saves about 15% of computing time. Once these keys are generated, they can be reused in subsequent operations.

There are many fast algorithms for matrix multiplication in plain, e.g., [47, 3]. Almost all of these algorithms are recursive. It is well known that a recursive program can always be rewritten as an iterative loop. However, in

the context of ciphertext computation, such as encrypted Strassen's matrix computation, the multiplication depth of the rewritten version may depend on the recursion depth, which will slow down the algorithm significantly. In contrast, the original recursive algorithm might only require a single Mul depth (possibly plus one or two CMul depths), although it is memory-consuming and hard to optimize further.

7.2 Setup

All experiments are run on a *single* thread (no parallelization) of a Dell XPS Desktop 8950 with an Intel Core i9-12900K at 3.2 GHz. We evaluate encrypted matrix multiplication algorithms with different dimensions:

- Small (almost) square dimension: all matrices *A*, *B* and *X* can be packed into one ciphertext. This setting is to evaluate Algorithm 5, 6, and algorithms in [31, 44].
- Large (almost) square dimension: all matrices *A*, *B* and *X* have to be packed into several ciphertexts. This setting is to evaluate Algorithm 8, together with the block version of Jiang et al.'s algorithm [31] and Algorithm 5.
- Rectangular dimension: Some of *A*, *B* and *X* are rectangular. This setting is to compare the performance of Algorithm 8, 10 and the state-of-the-art algorithm [29].

Recall that the ciphertext of CKKS is $\mathbb{Z}[X]/\langle X^N + 1, q \rangle$, and there is a scale factor Δ in CKKS related to the precision of the computed results. In our tests, all matrix entries are set by pow(-1, i + j) * rand()/pow(2, 30), roughly in the interval (-2, 2). The degree of ciphertext space and ciphertext modulus may vary depending on algorithms. In particular, we always set the bit-size of q as $50 + L \cdot \log \Delta + 60$, where L is the number of multiplicative depth (Mul and CMul) required by the corresponding algorithm (see, e.g., Table 1).

Such a setting always achieves at least 128-bit security level according to the ongoing homomorphic encryption security standard [1] and the latest lattice estimator [2]. We also note that multiple test runs show that in this setup, the maximal absolute errors of the computed results are always less than 10^{-2} .

7.3 Matrices with Small Dimension

For CKKS-based encrypted matrix multiplication with small dimension (each of the matrices A, B and X = AB can be encoded and encrypted into a single ciphertext), we compare Algorithm 5 and 6 with the algorithms in [31] and [44].

When N = 8192, the Rizomiliotis-Triakosia (RT) algorithm [44] supports square matrix multiplication of dimension $\sqrt[3]{N/2} = 16$. As indicated in Table 7, Algorithm 5 achieves a 1.5x speedup under the same parameter settings as the RT algorithm. However, due to the lower multiplicative depth required by Algorithm 5, it can finish the computation with a smaller ciphertext modulus. Under this setting, compared with the RT algorithm, Algorithm 5 eventually achieves a 2.4x speedup. In the same parameter setting, Algorithm 6 supports a (15, 16, 17) matrix multiplication, which performs the best among these algorithms, achieving a 16.6x speedup. When N = 16384 or N = 32768, the RT algorithm does not have corresponding matrix multiplication, whereas both Algorithm 5 and 6 have. More precisely, the RT algorithm can support matrix multiplication of other dimensions at a cost of more ciphertext operations. The reason is that the rotation operation Rot is designed for vectors of dimension ℓ . If the dimension is not exact ℓ , then one Rot can be finished with two Rots, two CMuls and one Add. The same reason holds for Jiang et al.'s algorithm when N = 16384 in Table 8.

Table 7: Performance comparison with the RT algorithm for smalldimensional matrices. N = 8192 and $\log \Delta = 30$.

Method	$\log q$	Dimension	Time (ms)	Speedup
RT [44]	170	(16, 16, 16)	199	1.0x
Algo. 5	170	(16, 19, 17)	130	1.5x
Algo. 5	140	(16, 19, 17)	82	2.4x
Algo. 6	140	(15, 16, 17)	13	16.6x

We test three different *N* in Table 8. For the same *N*, Algorithm 5 demonstrates a clear advantage (achieving a 4.4x speedup at least) compared with Jiang et al.'s algorithm [31], though the matrix dimensions it supports are only about $\sqrt{1/2} \approx 70\%$ of that supported by Jiang et al.'s algorithm.

In fact, the matrix dimension supported by all these algorithms are constrained by the number of plaintext slots ℓ . For instance, for N = 8192 ($\ell = 4096$), the algorithm in [31] can support square matrix multiplication of dimension $\sqrt{\ell} = 64$, the algorithm in [44] is limited to $\sqrt[3]{\ell} = 16$, and Algorithm 5 (resp. Algorithm 6) can support matrix multiplication of dimensions (43, 45, 44) (resp. (15, 16, 17)). However, the dimensions supported by Algorithm 5 and 6 are quite flexible. For example, when N = 16384, Algorithm 5 can support matrix multiplication of dimensions

Table 8: Performance comparison with [31] for matrices with small dimension, where $\log \Delta = 30$. "–" indicates that the algorithm does not support matrix multiplication for the corresponding dimensions.

	1 .	N = 8192		N = 1	16384	N = 32768	
Method	$\log q$	Dim.	Time (ms)	Dim.	Time (ms)	Dim.	Time (ms)
[31]	200	(64, 64, 64)	1453	-	-	(128, 128, 128)	13526
Algo. 5	140	(43, 45, 44)	284	(61, 64, 63)	1003	(89, 91, 90)	3059
Algo. 6	140	(15, 16, 17)	13	(21, 16, 23)	31	(31, 16, 33)	48

(61, 64, 63) or others, say (29, 128, 31) with a runtime of 1940 ms when log q = 140, while the other two algorithms do not support square matrix multiplication under this parameter setting.

Comparison with the algorithm in [55]

Since we did not implement our algorithms for the BGV scheme, we cannot directly compare the performance with Zheng et al.'s algorithm [55]. As analyzed previously, the performance of Algorithm 6 should be a bit better than that of theirs. In particular, according to [55, Table 2], it is reported that their algorithm costs 1 Mul, 2 CMuls and 14 Rots for a (16, 16, 16) matrix multiplication (their implementation costs about 119ms on our desktop for the first example given in [55, Table 3]), while Algorithm 6 requires only 1 Mul and 13 Rots for a (15, 16, 17) matrix multiplication in Table 8.

7.4 Large Square Matrix Multiplication

To evaluate the performance of algorithms for square matrices of high dimensions, we assume a scenario involving matrix multiplications with dimensions of 128, 256, 512 and 1024, respectively. For these tasks, we test different algorithms, including the naïve and Strassen version of block matrix multiplication, and the segmented Algorithm 8; see Tables 9–11 for details. Since it follows from Table 7 that the algorithm in [44] is not comparable with Algorithm 5, we here only consider the Jiang et al.'s algorithm [31], Algorithm 5, and Algorithm 6 as the base algorithms for the block version.

N = 8192N = 32768Method log q Basic block Time (s) Basic block Time (s) Naïve block [31] 200 (64, 64, 64)11.34 (128, 128, 128)14.17 Strassen + [31] 200 (64, 64, 64)10.34 (128, 128, 128)14.17 Naïve block Algo. 5 140 (43, 45, 44)7.59 (86, 89, 87)13.32 Strassen + Algo. 5 140 (32, 35, 33)8.31 (64, 67, 65)11.99 Naïve block Algo. 6 140 (15, 16, 17)4.40(21, 32, 23)8.32 Strassen + Algo. 6 84.89 127.69 140 (11, 8, 9)(17, 16, 19)8Algo. 8 190 (128, 131, 129)11.05 (128, 131, 129)41.60

Table 9: Performance comparison for (128, 128, 128) matrix multiplication. log Δ = 30 except for Algo. 8 with log Δ = 40.

In Table 9-11, we only list the dimensions of the involved basic block, from which, together with the input dimensions, the number of blocks can be easily determined. From Table 9, it is evident that for (128, 128, 128) matrix multiplication, the most efficient is Algorithm 6 using the naïve block method, while surprisingly, the Strassen version of Algorithm 6 is the worst. In fact, this is consistent with the previous analysis, as although the basic version of Algorithm 6 is theoretically the best among these algorithms, it supports the smallest matrix dimensions, resulting in more blocks and thus affecting the performance. Therefore, we will not consider the Strassen version of Algorithm 6 for further tests.

As indicated in Table 10, compared with the naïve block version, the Strassen block version does provide a speedup. In addition, the Strassen block version of Algorithm 5 is more efficient than that of Jiang et al.'s. However, it should be noted that the Strassen block version of Algorithm 5 requires more ciphertexts. For instance, when N = 8192, the Strassen block version of Algorithm 5 needs 64 ciphertexts to store a matrix, while the Strassen block version of Jiang et al.'s algorithm requires only 16. Table 10 also shows that the segmented Algorithm 8 with N = 8192 outperforms all block algorithms, each matrix stored in 17 ciphertexts due to the coprime limitation of the dimensions. For example, it can finish a (256, 259, 257) encrypted matrix multiplication within 42.90 seconds (2x faster than naïve block algorithm in [31]), of which 15.80 seconds are spent generating the switching keys required for Rot. Indeed, once these keys

Mathad	1.	N = 81	92	N = 32768		
Method	$\log q$	Basic block	Time (s)	Basic block	Time (s)	
Naïve block [31]	200	(64, 64, 64)	89.33	(128, 128, 128)	112.01	
Strassen + [31]	200	(64, 64, 64)	71.54	(128, 128, 128)	97.90	
Naïve block Algo. 5	140	(43, 45, 44)	59.57	(86, 89, 87)	73.35	
Strassen + Algo. 5	140	(32, 35, 33)	56.98	(64, 67, 65)	80.99	
Naïve block Algo. 6	140	(15, 16, 17)	76.60	(21, 32, 23)	76.19	
Algo. <mark>8</mark>	190	(256, 259, 257)	42.90^{\dagger}	(256, 259, 257)	110.99	

Table 10: Performance comparison for (256, 256, 256) matrix multiplication. log Δ = 30 except for Algo. 8 with log Δ = 40.

[†] We pre-generate the key-switching keys. Otherwise, it takes about 50s.

are generated, they can be reused, hence possibly further improving the efficiency for subsequent computations in practical applications.

Table 11: Performance comparison for matrix multiplication of dimension 512 and 1024 with N = 8192.

Mathad	1	(512, 512,	512)	(1024, 1024, 1024)		
Method	$\log q$	Basic block	Time (s)	Basic block	Time (s)	
Naïve block [31]	200	(64, 64, 64)	728	(64, 64, 64)	6028	
Strassen + [31]	200	(64, 64, 64)	479	(64, 64, 64)	3514	
Naïve block Algo. 5	140	(43, 45, 44)	490	(43, 45, 44)	4240	
Strassen + Algo. 5	140	(32, 35, 33)	390	(32, 35, 33)	2757	
Naïve block Algo. 6	140	(15, 16, 17)	1766	(15, 16, 17)	-	
Algo. 8	190	(512, 515, 513)	181^\dagger	(1024, 1027, 1025)	1200	

[†] By default, $\log \Delta = 30$ except for these with $\log \Delta = 40$.

Based on observations derived from Table 9 and 10, the setting N = 8192 performs better than N = 32768 for all tested algorithms. Thus, in tests with dimensions of 512 and 1024, we fix N = 8192. From Table 11, the naïve block Algorithm 6 perform the worst, since, again, more blocks slow down the speed. In addition, we have a similar observation to that from Table 10, indicating that Algorithm 8 has the best performance among these algorithms. Specifically, for the task of (1024, 1024, 1024) encrypted matrix multiplication, Algorithm 8 is 5x faster than the naïve block version Jiang et al.'s algorithm [31]. By the way, We also test the performance of the naïve block Algorithm 8, which costs about 260s for the (512, 512, 512) case with the basic block as (256, 259, 257).

7.5 Rectangular Matrix Multiplication

Huang et al. in [29] investigated high-dimensional encrypted rectangular matrix multiplication for different shapes. As indicated in Table 12, for the different dimensions in [29], as the dimensions increase, the efficiency of Algorithm 8 gradually outperforms that of Huang et al.'s algorithm, with a 2.6x speedup at most. Furthermore, Algorithm 8 can be used to cases of even larger dimension. For instance, it can finish a (8191, 8192, 15) encrypted matrix multiplication within 1452.16 seconds, while Huang et al.'s algorithm in its current setup does not support this instance.

Table 12: Performance comparison for rectangular matrix multiplication (I).

Huang et al.'s algo	orithm [29] [†]	Algorithm 8 [‡]				
Dimension	Time (s)	Dimension	KeyGen (s)	Total (s)	Speedup	
(256, 256, 16)	6.19	(256, 257, 17)	16.15	23.60		
(256, 16, 256)	6.23	(256, 17, 257)	14.69	19.37		
(1024, 1024, 16)	108.22	(1024, 1025, 17)	14.68	55.79	1.9x	
(1024, 16, 1024)	108.31	(1024, 17, 1025)	14.47	43.64	2.4x	
(2048, 2048, 8)	218.09	(2048, 2049, 11)	14.49	98.01	2.2x	
(2048, 8, 2048)	218.09	(2049, 8, 2051)	14.63	81.09	2.6x	

[†] For Huang et al.'s algorithm, N is set as the same as theirs and $\log \Delta = 30$.

[‡] For Algo. 8, N = 8192 and $\log \Delta = 40$.

Tables 13 and 14 include two additional cases of rectangular encrypted matrix multiplication not discussed in [29].

In the first case (Table 13), matrix *A* is short and wide, while matrix *B* is tall and narrow, i.e., $n, p \ll m$. The naïve block version of Algorithm 5 exhibits the best performance, about 4x faster than the corresponding version of Jiang et al.'s algorithm. Note that Algorithm 8 is a bit faster than the naïve block version of Jiang et al.'s, but slower than that of Algorithm 5. So, we omit its performance here. Note that the naïve block Algorithm 6 applies to the tests in Table 13. It costs 0.20, 1.52, 23.02, and 513.07 seconds, respectively.

Table 13: Performance (in sec.) for rectangular matrix multiplication (II). N = 8192, log $\Delta = 30$.

Dimension	Naïve block [31]	Naïve block Algo. 5	Speedup
(4, 1636, 5)	36.92	9.76	3.7x
(8, 3405, 9)	78.58	19.92	3.9x
(16, 6903, 17)	157.00	38.57	4.0x
(32, 13847, 33)	317.31	76.73	4.1x

In Table 14, we report experimental results for another case, i.e., $n \approx m \ll p$ and $mn < \ell$ (for this case Algorithm 7 and hence Algorithm 8 do not work), for which Algorithm 10 demonstrates the best performance. This is because the dimensions (n, m) of the matrix A are relatively small, and the number of Rots and CMuls required by Algorithm 10 are independent of the number of columns p of the matrix B (as already indicated in Section 6.2). We implement all optimizations in Section 6.2. Experiments show that Algorithm 10 with O4 is about 3x faster than that without O4. Thus, we only list the performance of Algorithm 10 with O4 in Table 14. It follows from Table 14 that the naïve block version of Algorithm 5 is significantly faster than the naïve block version of Jiang et al.'s, but slower than Algorithm 10 with O4 (in Table 6 $\kappa = 8$ is fixed for $\ell = 4096$). In particular, for the case of (32, 33, 13847), Algorithm 10 with O4 is about 38x faster than the naïve block version of [31].

Table 14: Performance (in sec.) for rectangular matrix multiplication (III).

Dimension	Naïve block [31]	Naïve block Algo. 5	Algo. 10+O4
(4, 5, 1636)	36.31	0.10	0.25
(8,9,3405)	77.56	0.65	0.63
(16, 17, 6903)	157.32	4.96	2.23
(32, 33, 13847)	316.76	38.82	8.24

 $N = 8192, \log \Delta = 30.$

8 Conclusion

In this paper, we introduce bicyclic encoding, a novel method for matrix encoding. We design several new algorithms for encrypted matrix multiplication under bicyclic encoding, and investigate the block and segmented methods for handling high-dimensional matrices. In the context of CKKS, the following conclusions can be drawn from our theoretical analysis and comprehensive experimental study:

- For encrypted matrix multiplication of small dimensions, Algorithm 5 or 6 is the optimal choice;
- When dealing with larger-scale encrypted square matrix multiplication, although theoretically, variants based on the Strassen block-wise strategy are faster, the practical performance is better with the segmented strategy (Algorithm 8);
- For those types of rectangular encrypted matrix multiplication discussed in [29], Algorithm 8 shows better performance;
- For rectangular encrypted matrix multiplication with $n \approx p \ll m$, the naïve block Algorithm 5 is effective;
- For rectangular encrypted matrix multiplication with $n \approx m \ll p$, Algorithm 10 that combines Lu et al.'s algorithm [35] with the segmented strategy demonstrates exceptional performance.

From the perspective of practical application, the implementations in this paper can still be further optimized. For example, employing a multi-thread parallelization can yield additional acceleration; for block algorithms, the intermediate results after rotations can be reused, and hence further acceleration may be achieved; the hoisting and double-hoisting technique (e.g., [8]) may be used to accelerate our implementation further as well.

Furthermore, implementing these algorithms using BGV or B/FV schemes would significantly enhance integer matrix multiplication on encrypted data. Additionally, exploring other matrix operations beyond transpose and multiplication under bicyclic encoding are worth further investigation.

Finally, the error analysis for matrix multiplication on encrypted data is another intriguing direction, which is closely related to choosing parameters, e.g., the scale factor Δ for CKKS, or the plaintext modulus for BGV and B/FV.

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Code availability

For reproducibility of these results, our codes will be open-sourced after publication and an executable file is currently available upon request.

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A Fully Homomorphic Encryption

Fully Homomorphic Encryption (FHE) schemes allow arithmetic circuits to be evaluated directly on ciphertexts [43, 22]. Since Gentry's seminal work [22], many FHE schemes have been designed, including BGV [10], B/FV [9, 18], CKKS [12], FHEW [15], TFHE [14]. Different schemes may have different features. For instance, BGV, B/FV, and CKKS are good at performing arithmetic operations on large vectors, CKKS supports floating-point computations, and FHEW and TFHE run bootstrapping for one bit fast but slow for arithmetic operations. As matrix operations require many vector arithmetic operations and floating-point computations happen commonly in practice, we take CKKS as our basic FHE scheme. However, we note that all algorithms presented in this paper also apply to the BGV and B/FV schemes.

Generally, an FHE scheme consists of the following algorithms:

- Setup (1^{λ}) . Given a security parameter λ as input, output parms.
- KeyGen(parms). Output a secret key sk = *s* and the corresponding public key pk. (For convenience, we also let pk include one or more evaluation keys.)
- $Enc_{pk}(b)$. Given a message $b \in M$, output a ciphertext $c \in C$, where M and C are the plaintext space and ciphertext space, respectively.
- $\text{Dec}_{sk}(c)$. Given a ciphertext $c \in C$, output a message $b \in M$.
- $\text{Eval}_{pk}(f, (c_1, \dots, c_k))$. Given a function f in k variables, and c_1, \dots, c_k with $c_i \leftarrow \text{Enc}_{pk}(b_i)$, output a ciphertext c such that $\text{Dec}_{sk}(c) \neq f(b_1, \dots, b_k)$ holds with negligible probability.

We omit the pk or sk for simplicity without ambiguity. An FHE scheme is said to be *secure* if it is IND-CPA secure. The security of almost all existing FHE schemes is based on the assumptions of LWE [42], RLWE [36], or their variants.

B The LongRot Algorithm

Given $a = (a_0, \ldots, a_{d-1}) \in \mathbb{R}^d$, the LongRot operation implements the following functionality:

- Construct $\underline{a} = (a, \ldots, a) \in \mathcal{R}^{t \cdot d}$;
- Rotate the vector \underline{a} to the left by k positions, resulting in $\underline{a}' = \rho_k(\underline{a})$;
- Select the first τ elements of \underline{a}' and divide them into $\begin{bmatrix} \underline{\tau} \\ \ell \end{bmatrix}$ groups, each containing ℓ elements.

Recall $d = (w - 1)\ell + z$ with $0 \le z < \ell$.

B.1 The Case of w > 1

Table 15 illustrates how LongRot works for the case of w > 1.



Table 15 illustrates how LongRot works w > 1. We first introduce some notations:

• $k = u\ell + v$ with $0 \le v < \ell$,

- $\tau = \iota \ell + \kappa$ with $0 \le \kappa < \ell$,
- $\tau (d k) = \gamma d + \delta$ with $0 \le \delta < d$ if $\tau > d k$,
- $\delta = \alpha \ell + \beta$ with $0 \le \beta < \ell$.

Case 1: $\tau \le d - k$ In this case, we only need to consider the row of j = 0 of Table 15 with the following two subcases.

If $\iota = 0$, LongRot outputs only one ciphertext, which is an encryption of $a'_0 = (a_{u\ell+v}, \dots, a_{u\ell+v+\tau-1})$. However, this ciphertext may involve two input ciphertexts of LongRot depending on if $\tau \le \ell - v$ or not. If $\iota > 0$, LongRot outputs $\iota + 1$ ciphertexts. For $i = 0, 1, \dots, \iota - 1$, the corresponding plaintexts are $a'_i = (a_{(u+i)\ell+v}, \dots, a_{(u+i+1)\ell-1}, a_{(u+i+1)\ell}, \dots, a_{(u+i+1)\ell+v-1})$; and at last if $\kappa \le \ell - v$ then $a'_i = (a_{(u+i)\ell+v}, \dots, a_{(u+i)\ell+v+\kappa-1})$, else

$$a'_{l} = (a_{(u+l)\ell+v}, \dots, a_{(u+l+1)\ell-1}, a_{(u+l+1)\ell}, \dots, a_{(u+l+1)\ell+\kappa-\ell+v-1}).$$

Case 2: $\tau > d - k$ In this case, we need to consider the full Table 15. From Table 15, we observe that v_i in each line is the step size of the rotation, which can be computed in advance. Let $v_0 = v$. Then for $j = 1, ..., \gamma + 1$ define

$$v_j = \begin{cases} \ell - (z - v_{j-1}) & \text{if } z > v_{j-1}, \\ v_{j-1} - z & \text{if } z \le v_{j-1}. \end{cases}$$

We also define the last index of the ciphertexts at the end of each row in Table 15: $i_0 = w + u + g(z, v_j) - 2$ and $i_j = w + g(z, v_j) - 2$ for $j = 1, ..., \gamma + 1$, where $g(z, v_j) = 1$ if $z > v_j$ and 0 otherwise.

First, we consider the row j = 0 of Table 15. When $z > v_0$, we have $a'_i = (a_{(u+i)\ell+v_0}, \ldots, a_{(u+i+1)\ell-1}, a_{(u+i+1)\ell}, \ldots, a_{(u+i+1)\ell+v_0-1})$ for $i = 0, 1, \ldots, i_0 - 1 = w - u - 2$, and $a'_{i_0} = (a_{(w-1)\ell+v_0}, \ldots, a_{(w-1)\ell+z-1}, a_0, \ldots, a_{v_1-1})$. When $z \le v_0$, we have $a'_i = (a_{(u+i)\ell+v_0}, \ldots, a_{(u+i+1)\ell-1}, a_{(u+i+1)\ell}, \ldots, a_{(u+i+1)\ell+v_0-1})$ for $i = 0, 1, \ldots, i_0 - 1 = w - u - 3$, and $a'_{i_0} = (a_{(w-2)\ell+v_0}, \ldots, a_{(w-1)\ell-1}, a_{(w-1)\ell}, \ldots, a_{(w-1)\ell+z-1}, a_0, \ldots, a_{v_1-1})$. Note that if $\gamma = 0$ and $\beta < v_1$ then the last v_1 entries (a_0, \ldots, a_{v_1-1}) of a'_{i_0} should be replaced by $(a_0, \ldots, a_{\beta-1})$. In addition, the current index of the last ciphertext is $h := i_0$.

For the *j*-th row of Table 15 with $j = 1, ..., \gamma - 1$, we have $a'_{h+i+1} = (a_{i\ell+v_j}, ..., a_{(i+1)\ell-1}, a_{(i+1)\ell}, ..., a_{(i+1)\ell+v_j-1})$ for $i = 0, 1, ..., i_j - 1$. If $z > v_j$ then $a'_{h+i_j+1} = (a_{(w-1)\ell+v_j}, ..., a_{(w-1)\ell+z-1}, a_0, ..., a_{v_{j+1}-1})$ else

$$a'_{h+i_{j+1}} = (a_{(w-2)\ell+v_{j}}, \dots, a_{(w-1)\ell-1}, a_{(w-1)\ell}, \dots, a_{(w-1)\ell+z-1}, a_{0}, \dots, a_{v_{j+1}-1})$$

and update the index of the last ciphertext $h := h + i_i + 1$.

For the γ -th row, we have $a'_{h+i+1} = (a_{i\ell+v_{\gamma}}, \ldots, a_{(i+1)\ell-1}, a_{(i+1)\ell}, \ldots, a_{(i+1)\ell+v_{\gamma}-1})$ for $i = 0, 1, \ldots, i_{\gamma} - 1$. Then update $h := h + i_{\gamma} + 1$. If $z > v_{\gamma}$, then the last ciphertext of the row γ is an encryption of a'_{h} with

$$a'_{h} = (a_{(w-1)\ell+v_{v}}, \ldots, a_{(w-1)\ell+z-1}, a_{0}, \ldots, a_{\beta-1})$$

if $\tau < (h+1)\ell$ and $\beta \le v_{\gamma+1}$, and $a'_h = (a_{(w-1)\ell+v_{\gamma}}, \dots, a_{(w-1)\ell+z-1}, a_0, \dots, a_{v_{\gamma+1}-1})$ otherwise. If $z \le v_{\gamma}$, then the last ciphertext of the row γ is an encryption of a'_h with $a'_h = (a_{(w-2)\ell+v_{\gamma}}, \dots, a_{(w-1)\ell-1}, a_{(w-1)\ell}, \dots, a_{(w-1)\ell+z-1}, a_0, \dots, a_{\beta-1})$ if $\tau < (h+1)\ell$ and $\beta \le v_{\gamma+1}$, and otherwise $a'_h = (a_{(w-2)\ell+v_{\gamma}}, \dots, a_{(w-1)\ell-1}, a_{(w-1)\ell}, \dots, a_{(w-1)\ell+z-1}, a_0, \dots, a_{\beta-1})$.

Now we consider the row $j = \gamma + 1$ of Table 15. First for $i = 0, 1, ..., \alpha - 2$, we have

$$a'_{h+i+1} = (a_{i\ell+v_{\gamma+1}}, \dots, a_{(i+1)\ell-1}, a_{(i+1)\ell}, \dots, a_{(i+1)\ell+v_{\gamma+1}-1}).$$
(6)

Then update $h := h + \max\{0, \alpha - 1\}$. (Indeed, if $\alpha = 0$, Eq. (6) will never be executed.) Further, if $\alpha = 0$ and $\beta > v_{\gamma+1}$ then update h := h + 1 and $a'_h = (a_{v_{\gamma+1}}, \dots, a_{\beta-1})$. If $\alpha > 0$ and $\beta > v_{\gamma+1}$ then

$$a'_{h+1} = (a_{(\alpha-1)\ell+v_{\gamma+1}}, \dots, a_{\alpha\ell-1}, a_{\alpha\ell}, \dots, a_{\alpha\ell+v_{\gamma+1}-1})$$

and $a'_{h+2} = (a_{\alpha\ell+v_{\gamma+1}}, \ldots, a_{\alpha\ell+\beta-1})$, and update h := h+2. If $\alpha > 0$ and $\beta \le v_{\gamma+1}$ then update h := h+1 and $a'_{h} = (a_{(\alpha-1)\ell+v_{\gamma+1}}, \ldots, a_{\alpha\ell-1}, a_{\alpha\ell}, \ldots, a_{\alpha\ell+\beta-1})$. This completes the functionality in the plaintext domain.

We can easily translate the discussion in Section 5.2 into the encrypted version as the following algorithm.

Algorithm 4 (LongRot)

Input: Ciphertexts $(ct.a_i)_{0 \le i < w}$ for $a = (a_0, a_1, ..., a_{w-2}, a_{w-1}) \in \mathcal{R}^d$ with $a_{w-1} \in \mathcal{R}^z$ and $a_i \in \mathcal{R}^\ell$ for i = 0, 1, ..., w - 2 (i.e., $d = (w - 1)\ell + z$ with $0 \le z < \ell$, where ℓ is the number of slots), the number of repeated times t, the number of positions to be rotated $k \in [0, d)$, the number of selected elements τ .

Output: Ciphertexts $(\operatorname{ct} \underline{a}'_i)_{0 \le i < \lceil \frac{\tau}{\ell} \rceil}$, i.e., $\lceil \frac{\tau}{\ell} \rceil$ ciphertexts that encrypt the first τ elements of \underline{a}' .

- 1. Compute two non-negative integers *u* and *v* such that $k = u\ell + v$ with $v < \ell$. Compute two non-negative integers γ and δ such that $\tau (d k) = \gamma d + \delta$ with $\delta < d$. Compute two non-negative integers α and β such that $\delta = \alpha \ell + \beta$ with $\beta < \ell$. Compute two non-negative integers *i* and κ such that $\tau = \iota \cdot \ell + \kappa$ with $\kappa < \ell$. Set $v_0 := v$ and h := 0.
- 2. If $\tau \leq d k$ then do the following:
 - (a) If $\iota = 0$ and $\tau \leq \ell v$ then compute $\operatorname{ct}.\tilde{a}_0 \leftarrow \operatorname{Rot}_v(\operatorname{ct}.a_u)$ and $\operatorname{ct}.\underline{a}'_h \leftarrow \operatorname{Sl}_{[0,\tau-1]}(\operatorname{ct}.\tilde{a}_0)$.
 - (b) If $\iota = 0$ and $\tau > \ell v$ then compute $\operatorname{ct.} \tilde{a}_0 \leftarrow \operatorname{Rot}_v(\operatorname{ct.} a_u)$ and $\operatorname{ct.} \tilde{a}_1 \leftarrow \operatorname{Rot}_{-(\ell-v)}(\operatorname{ct.} a_{u+1})$ and compute

 $\mathsf{ct.}\underline{a}'_{h+1} \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,\ell-v-1]}(\mathsf{ct.}\tilde{a}_0),\mathsf{Sl}_{[\ell-v,\tau-1]}(\mathsf{ct.}\tilde{a}_1)).$

(c) If $\iota > 0$ then do the following: For $i = 0, 1, ..., \iota$ compute $\operatorname{ct}.\tilde{a}_i \leftarrow \operatorname{Rot}_v(\operatorname{ct}.a_{u+i})$. For $i = 0, 1, ..., \iota - 1$ compute $\operatorname{ct}.\underline{a}'_i \leftarrow \operatorname{Add}(\operatorname{Sl}_{[0,\ell-v-1]}(\operatorname{ct}.\tilde{a}_i), \operatorname{Sl}_{[\ell-v,\ell-1]}(\operatorname{ct}.\tilde{a}_{i+1}))$. Set $h := h + \iota$. If $\kappa \leq \ell - v$ then $\operatorname{ct}.\underline{a}'_h \leftarrow \operatorname{Sl}_{[0,\kappa-1]}(\operatorname{ct}.\tilde{a}_i)$, else compute $\operatorname{ct}.\tilde{a}_{i+1} \leftarrow \operatorname{Rot}_{-(\ell-v)}(\operatorname{ct}.a_{u+\iota+1})$ and compute

$$\operatorname{ct.}\underline{a}'_{h} \leftarrow \operatorname{Add}(\operatorname{Sl}_{[0,\ell-v-1]}(\operatorname{ct.}\tilde{a}_{\iota}), \operatorname{Sl}_{[\ell-v,\kappa-1]}(\operatorname{ct.}\tilde{a}_{\iota+1})).$$

3. If $\tau > d - k$ then do the following:

- (a) Set $i_0 := w u + g(z, v_0) 2$, where g(x, y) = 1 if x > y and 0 otherwise.
- (b) For $j = 1, 2, ..., \gamma + 1$ do: If $z > v_{j-1}$ then set $v_j := \ell (z v_{j-1})$ else set $v_j := v_{j-1} z$; Set $i_j := w + g(z, v_j) 2$.
- (c) For $i = 0, 1, ..., i_0$ compute ct. $\tilde{a}_i \leftarrow \operatorname{Rot}_{v_0}(\operatorname{ct.} a_{u+i})$. For $i = 0, 1, ..., i_0 1$ compute

$$\mathrm{ct.}\underline{a}'_{i} \leftarrow \mathrm{Add}(\mathrm{Sl}_{[0,\ell-v_0-1]}(\mathrm{ct.}\tilde{a}_{i}), \mathrm{Sl}_{[\ell-v_0,\ell-1]}(\mathrm{ct.}\tilde{a}_{i+1})).$$

Update ct. $\tilde{a}'_0 \leftarrow \operatorname{Rot}_{v_0-z}(\operatorname{ct.} a_0)$ and $h := h + i_0$.

(d) If $z > v_0$: If $(i_0 + 1)\ell > \tau$ and $\beta \le v_1$ and $\gamma = 0$ then compute

$$\mathsf{ct.}\underline{a}'_{h} \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,z-v_{0}-1]}(\mathsf{ct.}\tilde{a}_{i_{0}}),\mathsf{Sl}_{[z-v_{0},z-v_{0}+\beta-1]}(\mathsf{ct.}\tilde{a}'_{0}))$$

else compute ct. $\underline{a}'_{h} \leftarrow \operatorname{Add}(\operatorname{Sl}_{[0,z-v_{0}-1]}(\operatorname{ct}.\tilde{a}_{i_{0}}), \operatorname{Sl}_{[z-v_{0},z-v_{0}+v_{1}-1]}(\operatorname{ct}.\tilde{a}'_{0}))$. Set ct. $\tilde{a}_{0} := \operatorname{ct}.\tilde{a}'_{0}$.

(e) If $z \leq v_0$: Compute ct. $\tilde{a}_{i_0+1} \leftarrow \operatorname{Rot}_{-(\ell-v_0)}(\operatorname{ct.} a_{w-1})$ and

$$\mathsf{ct.} t \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,\ell-v_0-1]}(\mathsf{ct.}\tilde{a}_{i_0}), \mathsf{Sl}_{[\ell-v_0,\ell-v_0+z-1]}(\mathsf{ct.}\tilde{a}_{i_0+1}),$$

and set ct. $\tilde{a}_0 := \text{ct.}\tilde{a}'_0$; If $(h+1)\ell > \tau$ and $\beta \leq v_1$ and $\gamma = 0$ then compute

$$\operatorname{ct.}\underline{a}'_{h} \leftarrow \operatorname{Add}(\operatorname{ct.}t, \operatorname{Sl}_{\left[\ell - v_{0} + z, \ell - v_{0} + z + \beta - 1\right]}(\operatorname{ct.}\tilde{a}_{0}))$$

else compute

$$\mathrm{ct.}\underline{a}'_{h} \leftarrow \mathrm{Add}(\mathrm{ct.}t, \mathrm{Sl}_{\lceil \ell - v_0 + z, \ell - v_0 + z + v_1 - 1\rceil}(\mathrm{ct.}\tilde{a}_0)).$$

- (f) For $j = 1, 2, ..., \gamma$ do the following:
 - i. For $i = 1, 2, ..., i_j$, compute ct. $\tilde{a}_i \leftarrow \text{Rot}_{v_i}(\text{ct.}a_i)$. For $i = 0, 1, ..., i_j 1$ compute

$$\mathsf{ct.}\underline{a}'_{h+i+1} \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,\ell-v_i-1]}(\mathsf{ct.}\tilde{a}_i),\mathsf{Sl}_{[\ell-v_i,\ell-1]}(\mathsf{ct.}\tilde{a}_{i+1})).$$

Update $\operatorname{ct.} \tilde{a}'_0 \leftarrow \operatorname{Rot}_{v_j-z}(\operatorname{ct.} a_0)$ and $h := h + i_j + 1$. ii. If $z > v_j$: If $(h+1)\ell > \tau$ and $\beta \le v_1$ and $j = \gamma$ then compute

$$\mathsf{ct}.\underline{a}'_h \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,z-v_0-1]}(\mathsf{ct}.\tilde{a}_{i_j}),\mathsf{Sl}_{[z-v_0,z-v_0+\beta-1]}(\mathsf{ct}.\tilde{a}'_0))$$

else compute ct. $\underline{a}'_h \leftarrow \text{Add}(\text{Sl}_{[0,z-v_j-1]}(\text{ct}.\tilde{a}_{i_j}), \text{Sl}_{[z-v_j,z-v_j+v_{j+1}-1]}(\text{ct}.\tilde{a}'_0))$. Set ct. $\tilde{a}_0 := \text{ct}.\tilde{a}'_0$. iii. If $z \leq v_j$: Compute ct. $\tilde{a}_{i_j+1} \leftarrow \text{Rot}_{-(\ell-v_j)}(\text{ct}.a_{w-1})$ and

$$\mathsf{ct.} t \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,\ell-v_j-1]}(\mathsf{ct.}\tilde{a}_{i_j}), \mathsf{Sl}_{[\ell-v_j,\ell-v_j+z-1]}(\mathsf{ct.}\tilde{a}_{i_j+1})$$

and set ct. $\tilde{a}_0 := \text{ct.}\tilde{a}'_0$; If $(h+1)\ell > \tau$ and $\beta \le v_1$ and $j = \gamma$ then compute

$$\operatorname{ct.}\underline{a}'_{h} \leftarrow \operatorname{Add}(\operatorname{ct.}t, \operatorname{Sl}_{\left[\ell - v_{i} + z, \ell - v_{i} + z + \beta - 1\right]}(\operatorname{ct.}\tilde{a}_{0}))$$

else compute

$$\mathrm{ct.}\underline{a}'_{h} \leftarrow \mathrm{Add}(\mathrm{ct.}t, \mathrm{Sl}_{[\ell-v_{j}+z,\ell-v_{j}+z+v_{j+1}-1]}(\mathrm{ct.}\tilde{a}_{0})).$$

(g) For $i = 1, 2, ..., \alpha - 1$ compute ct. $\tilde{a}_i \leftarrow \operatorname{Rot}_{v_{\gamma+1}}(\operatorname{ct.} a_i)$. For $i = 0, 1, ..., \alpha - 2$ compute

$$\mathrm{ct.}\underline{a}'_{h+i+1} \leftarrow \mathrm{Add}(\mathrm{Sl}_{[0,\ell-v_{\gamma+1}-1]}(\mathrm{ct.}\tilde{a}_i), \mathrm{Sl}_{[\ell-v_{\gamma+1},\ell-1]}(\mathrm{ct.}\tilde{a}_{i+1})).$$

Update $h := h + \max\{0, \alpha - 1\}$.

- (h) If $\alpha = 0$ and $\beta > v_{\gamma+1}$ then compute ct. $\underline{a}'_{h+1} \leftarrow Sl_{[0,\beta-v_{\gamma+1}-1]}(ct.\tilde{a}_0)$ and update h := h+1.
- (i) If $\alpha > 0$ compute ct. $\tilde{a}_{\alpha} \leftarrow \operatorname{Rot}_{-(\ell v_{\nu+1})}(\operatorname{ct.} a_{\alpha})$ and do the following:
 - i. If $\beta > v_{\gamma+1}$ compute ct. $\underline{a}'_{h+1} \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,\ell-v_{\gamma+1}-1]}(\mathsf{ct}.\tilde{a}_{\alpha-1}),\mathsf{Sl}_{[\ell-v_{\gamma+1},\ell-1]}(\mathsf{ct}.\tilde{a}_{\alpha}))$ and

$$\mathrm{ct.}\underline{a}'_{h+2} \leftarrow \mathrm{Sl}_{[0,\beta-v_{\gamma+1}-1]}(\mathrm{ct.}\tilde{a}_{\alpha}),$$

and update h := h + 2.

- ii. If $\beta \leq v_{\gamma+1}$ compute ct. $\underline{a}'_{h+1} \leftarrow \operatorname{Add}(\operatorname{Sl}_{[0,\ell-v_{\gamma+1}-1]}(\operatorname{ct}.\tilde{a}_{\alpha-1}), \operatorname{Sl}_{[\ell-v_{\gamma+1},\ell-v_{\gamma+1}+\beta-1]}(\operatorname{ct}.\tilde{a}_{\alpha}))$ and update h := h+1.
- 4. Return $(\operatorname{ct.}\underline{a}'_i)_{0 \le i \le h}$.

Proposition 9. The LongRot algorithm is correct, requires at most $\left\lceil \frac{\tau}{\ell} \right\rceil$ Rots, $2\left\lceil \frac{\tau}{\ell} \right\rceil + \frac{\tau}{d} + 1$ CMuls, and one CMul multiplicative depth.

Proof. After translating Algorithm 7 into its plaintext version, a straightforward verification shows that Algorithm 7 indeed accomplishes the aforementioned functionality at the beginning of Section 5.2, thereby confirming its correctness. To analyze the cost, without loss of generality, we only consider the case of $\tau > d - k$ and $\alpha > 0$.

While it follows from the correctness that $h = \left\lceil \frac{\tau}{\ell} \right\rceil - 1$, it follows from the Algorithm that $h = \sum_{j=0}^{\gamma} (i_j + 1) + \alpha$ or $h = \sum_{j=0}^{\gamma} (i_j + 1) + \alpha + 1$. From Step 3c, 3(f)i, 3g and 3i, the number of Rots is $\sum_{j=0}^{\gamma} (i_j + 1) + \alpha + 1 \le h + 1 = \left\lceil \frac{\tau}{\ell} \right\rceil$. For the number of CMuls, we notice that the number of resulting ciphertexts is $\left\lceil \frac{\tau}{\ell} \right\rceil$ and each ciphertext is a sum of two selected ciphertexts, except for the $\gamma + 1 \le \frac{\tau}{d} + 1$ ciphertexts computed in Step 3e and 3(f)iii, where each ciphertext is a sum of three selected ciphertexts.

B.2 The Case of w = 1

We first introduce some notations for this case:

- $d = (w 1)\ell + z$ with $0 \le z < \ell$ (hence w = 1 implies d = z),
- k satisfies $0 \le k < z$,
- $\tau = \iota \ell + \kappa$ with $0 \le \kappa < \ell$,
- $\kappa = \mu z + \nu$ with $0 \le \nu < z$,
- $\ell = \theta z + \eta$ with $0 \le \eta < z$.

Let $k_0 = k$. For i = 0, 1, ..., i - 1 do the following: First, we construct $a'_i = (a_{k_i}, ..., a_{z-1}, a_0, ..., a_{k_i-1})$. Then we compute $\underline{a}'_i = (a'_i, ..., a'_i, \tilde{a}_i)$, where

$$\tilde{a}_{i} = \begin{cases} (a_{k_{i}}, \dots, a_{k_{i}+\eta-1}), & \text{if } \eta \leq z - k_{i}, \\ (a_{k_{i}}, \dots, a_{z-1}, a_{0}, \dots, a_{\eta-(z-k_{i})-1}), & \text{if } \eta > z - k_{i}, \end{cases}$$
(7)

and update

$$k_{i+1} = \begin{cases} 0, & \text{if } \eta = z - k_i, \\ k_i + \eta, & \text{if } \eta < z - k_i, \\ \eta - (z - k_i), & \text{if } \eta > z - k_i. \end{cases}$$

At last, $\underline{a}'_{\iota} = (\underbrace{a'_{\iota}, \ldots, a'_{\iota}}_{\mu}, \tilde{a}_{\iota})$ with $a'_{\iota} = (a_{k_{\iota}}, \ldots, a_{z-1}, a_{0}, \ldots, a_{k_{\iota}-1})$ and

$$\tilde{a}_{l} = \begin{cases} (a_{k_{l}}, \dots, a_{k_{l}+\nu-1}), & \text{if } \nu \leq z-k_{l}, \\ (a_{k_{l}}, \dots, a_{z-1}, a_{0}, \dots, a_{\nu-(z-k_{l})-1}), & \text{if } \nu > z-k_{l}. \end{cases}$$

The encrypted version We now give the encrypted version of the above discussion.

Algorithm 10

Input: Ciphertexts ct.*a* for $a = (a_0, ..., a_{z-1}) \in \mathbb{R}^z$ with $0 < z < \ell$, where ℓ is the number of slots, the number of positions to be rotated $k \in [0, z)$, and the number of selected elements τ .

Output: Ciphertexts $(\operatorname{ct} \underline{a}'_i)_{0 \le i < \lceil \frac{\tau}{\ell} \rceil}$, i.e., $\lceil \frac{\tau}{\ell} \rceil$ ciphertexts that encrypt the first τ elements of \underline{a}' .

- 1. Compute two non-negative integers ι and κ such that $\tau = \iota \ell + \kappa$ with $\kappa < \ell$. Compute two non-negative integers μ and ν such that $\kappa = \mu z + \nu$ with $\nu < z$. Compute two non-negative integers θ and η such that $\ell = \theta z + \eta$ with $\eta < z$.
- 2. Set $k_0 = k$. For $i = 0, 1, ..., \iota 1$ set k_{i+1} by Eq. (7). Compute ct. $t \leftarrow \text{Repeat}(\text{ct.}a, \max\{\theta, \lceil (k_i + \nu)/z \rceil\})$.
- 3. For $i = 0, 1, \ldots, i 1$ do the following:

(a) Compute $\operatorname{ct.} t_1 \leftarrow \operatorname{Rot}_{k_i}(\operatorname{ct.} t)$ and $\operatorname{ct.} t_2 \leftarrow \operatorname{Rot}_{k-\theta z}(\operatorname{ct.} t)$. Then set

$$\mathsf{ct.}\underline{a}'_{i} \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,\theta z-k_{i}-1]}(\mathsf{ct.}t_{1}), \mathsf{Sl}_{[\theta z-k_{i},\ell-1]}(\mathsf{ct.}t_{2})).$$

4. If $\mu = 0$ then compute ct. $t_1 \leftarrow \operatorname{Rot}_{k_t}(\operatorname{ct.} a)$. (a) If $v \le z - k_t$ then set ct. $\underline{a}'_t \leftarrow \operatorname{Sl}_{[0,v-1]}(\operatorname{ct.} t_1)$.

- (b) If $v > z k_i$ then compute $\operatorname{ct.} t_2 \leftarrow \operatorname{Rot}_{z-v-k_i}(\operatorname{ct.} a)$ and $\operatorname{ct.} \underline{a}'_i \leftarrow \operatorname{Add}(\operatorname{Sl}_{[0,z-k_i-1]}(\operatorname{ct.} t_1), \operatorname{Sl}_{[z-k_i,v-1]}(\operatorname{ct.} t_2))$.
- 5. If $\mu > 0$ then compute ct. $t_1 \leftarrow \operatorname{Rot}_{k_t}(\operatorname{ct.} t)$ and ct. $t_2 \leftarrow \operatorname{Rot}_{k_t \mu z}(\operatorname{ct.} t)$, and set

 $\mathsf{ct.}\underline{a}'_{\iota} \leftarrow \mathsf{Add}(\mathsf{Sl}_{[0,\mu z-k_{\iota}-1]}(\mathsf{ct.}t_1), \mathsf{Sl}_{[\mu z-k_{\iota},\mu z+\nu-1]}(\mathsf{ct.}t_2)).$

6. Return $(\operatorname{ct.}\underline{a}'_i)_{0 \le i \le i}$.

Proposition 11. Algorithm 10 is correct. It requires at most $\log \left| \frac{\ell}{\tau} \right| + 2 \left[\frac{\tau}{\ell} \right]$ Rots and $2 \left[\frac{\tau}{\ell} \right]$ CMuls, respectively.

Based on Algorithm 10, one can also design the corresponding variant of Algorithm 8.However, it requires double the number of Rots of Algorithm 8,since Algorithm 10 requires double the number of Rots compared with Algorithm 7. Thus, the resulting algorithm is not comparable with existing algorithms, e.g., Huang et al.'s algorithm [29].

C Missing Proofs

Proof of Proposition 5. For the correctness of Algorithm 2, we need the following properties that are all implied by the pairwise coprimality of (n, m, p).

Lemma 12. Suppose that the integers n, m, and p are pairwise coprime. Then for all $0 \le k < m$ and $0 \le i < np$, we have

- 1. $[[knp+i]_{mn}]_n = [i]_n$.
- 2. $[[knp+i]_{mp}]_p = [i]_p$.
- 3. $[[knp+i]_{mn}]_m = [[knp+i]_{mp}]_m$.
- 4. For all $0 \leq \ell < m$ with $k \neq \ell$, $[[knp+i]_{mn}]_m \neq [[\ell np+i]_{mn}]_m$.

Let a and b be the bicyclic encoding of $A \in \mathbb{R}^{n \times m}$ and $B \in \mathbb{R}^{m \times p}$, respectively. Denote by \underline{a} and \underline{b} the resulting vectors a and b in Step 2. Denote by \underline{x} the vector x after Step 3, and by \overline{x} the resulting vector x after Step 4. Let the (i, j)-entry of A and B be $A_{i,j}$ and $B_{i,j}$, respectively.

It follows from the bicyclic encoding and Step 2 that $\underline{a}_i = a_{[i]mn} = A_{[[i]mn]n,[[i]mn]m}$ and $\underline{b}_i = b_{[i]mp} = B_{[i]mp]m,[[i]mp]n}$ for $0 \le i < nmp$. Now, the definition of the segmented sum of vector implies that for $0 \le i < np$,

$$\overline{x}_{i} = \sum_{0 \leq k < m} \underline{x}_{knp+i}$$

$$= \sum_{0 \leq k < m} \underline{a}_{knp+i} \cdot \underline{b}_{knp+i}$$

$$= \sum_{0 \leq k < m} A_{[[knp+i]_{mn}]_{n}, [[knp+i]_{mn}]_{m}} B_{[[knp+i]_{mp}]_{m}, [[knp+i]_{mp}]_{p}}$$

$$= \sum_{0 \leq k < m} A_{[i]_{n}, [[knp+i]_{mn}]_{m}} \cdot B_{[[knp+i]_{mp}]_{m}, [i]_{p}}$$

$$= \sum_{0 \leq j < m} A_{[i]_{n,j}} \cdot B_{j, [i]_{p}}$$

$$= X_{[i]_{n}, [i]_{p}},$$
(8)

where Eq. (8) (resp. (9)) follows from the items 1 and 2 (resp. 3 and 4) of Lemma 12.

In Step 2, we need at most $\lceil \log p \rceil$ (resp. $\lceil \log n \rceil$) vector rotations to update *a* (resp. *b*), and we need at most $\lceil \log m \rceil$ vector rotations to compute the segment-sum in Step 4. The only occurrence of component-wise vector product happens in Step 3, which completes the proof.

Proof of Proposition 6. For $\mathbf{A} = (a_{i,j})_{0 \le i < n, 0 \le j < m}$, according to the definition of bicyclic encoding, we have $\mathbf{d}(\mathbf{A}) = (d_k)$ with $d_k = a_{[k]_n, [k]_m}$ for $0 \le k < n \cdot m$. For $\mathbf{A}^{\mathrm{T}} = (a'_{i,j})_{0 \le i < m, 0 \le j < n}$ with $a'_{i,j} = a_{j,i}$, we assume that $\mathbf{d}(\mathbf{A}^{\mathrm{T}}) = (d'_k)_{0 \le k < n \cdot m}$. Obviously, $d'_k = a'_{[k]_m, [k]_n} = a_{[k]_n, [k]_m} = d_k$ for $0 \le k < n \cdot m$.